

STATE OF WISCONSIN
STATE REVOLVING FUND
CLEAN WATER FUND PROGRAM
ANNUAL REPORT
FOR STATE FISCAL YEAR 2020



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For: U.S. Environmental Protection Agency - Region 5

By: Wisconsin Department of Natural Resources
Bureau of Community Financial Assistance
P.O. Box 7921
Madison, Wisconsin 53707-7921

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**CLEAN WATER FUND PROGRAM
STATE REVOLVING FUND ANNUAL REPORT FOR STATE FISCAL YEAR 2020**

I. INTRODUCTION

The State of Wisconsin submits its Clean Water State Revolving Fund (CWSRF) Annual Report for State Fiscal Year (SFY) 2020, in compliance with Section 606(d) of the Federal Water Pollution Control Act (FWPCA), as amended by the Water Quality Act of 1987 and the Water Resources Reform and Redevelopment Act of 2014. This report describes the federal portion of the State's Clean Water Fund Program (CWFP) and reviews the activities that occurred in SFY 2020.

In accordance with the Operating Agreement (OA) between the State and EPA Region 5, this report identifies loan recipients, loan amounts, loan terms, project categories, project descriptions, priority scores, and dates of binding commitments, and reviews the status of goals and objectives outlined in the Intended Use Plan (IUP). The report also addresses the financial health of the fund.

II. PROGRAM DESCRIPTION

A. Structure

The Clean Water Fund Program is one of three revolving loan funds established under the Wisconsin Environmental Improvement Fund (EIF); the Safe Drinking Water Loan Program (SDWLP) and the currently dormant Land Recycling Loan Program are the other two. The EIF is jointly administered by the Department of Natural Resources (DNR) and the Department of Administration (DOA).

The CWFP operates a financial assistance program consisting of Federal and State Accounts. The Federal Accounts constitute the State Revolving Fund (SRF). From its inception through SFY 2015, it was structured as a direct loan program with proceeds from general obligation bonds issued by the State providing the state match. Starting in SFY 2016, the SRF has been operating as a leveraged program with proceeds of State EIF revenue bonds. Debt service on the EIF revenue bonds is paid from SRF loan and investment loan repayments.

The State Accounts are a separate State-run loan and grant program. The State-run loan program primarily provided low interest rate loans to municipalities. The State-run grant program provided a limited number of hardship grants, though the State hardship grant program has been eliminated. Funding for the State-run program came from clean water revenue bonds and State general obligation bonds. At this time the State does not plan to issue any new revenue bonds or make any new loans under the State-run program.

B. Loan Terms

Financial Assistance is provided by purchasing the general obligation or revenue bonds of municipal governments to finance eligible projects; these transactions are referred to as "loans" throughout this document and in program informational materials. Most CWFP loans have a term of 20 years, though loan terms of up to 30 years are now available, with DNR and DOA approval, for projects where the design life is greater than 20 years (the loan term cannot exceed the design life). Repayment begins no later than one year from the substantial completion date projected at the time of binding commitment, unless the project is already substantially complete prior to CWFP loan closing. Interest payments are due in November of each year, and interest plus principal payments are due in May. Estimated amortization schedules are provided at the time of execution of the Financial Assistance Agreement (FAA).

Interest rates are determined at the FAA stage based on the current market rate and the municipality's population and median household income (MHI), established at the time of publication of the project priority list for the fiscal year. The subsidy level is set forth in the state statutes. The 2017-2019 State budget included changes to state statutes to increase the amount of subsidy provided by the CWFP. The budget was signed on September 21, 2017 and incorporated the following changes:

- The subsidy amount was increased for most project types, reducing the interest rate from 70% of market rate down to 55% of market rate.
- For municipalities with a population less than 10,000 and an MHI of 80% or less of the MHI of the State, the amount of subsidy is increased further, reducing the interest rate to 33% of market rate.
- For municipalities with a population less than 1,000 and an MHI of 65% or less of the MHI of the State, there is an even further increase in the amount of subsidy, reducing the interest rate to 0%.

Septage receiving facilities are still eligible to receive 0% interest loans; pilot projects are still eligible to receive loans between 0% and the normal subsidized rate; and the market rate is still used in certain circumstances, as described in the IUPs, s. 281.58, Wis. Stats., and ch. NR 162, Wis. Adm. Code. Interest rates are adjusted quarterly, as needed, based on market conditions. For SFY 2020, the State's market rate started at 3.20% in July 2019, and then dropped to 3.0% in October 2019. In early March an announcement was made that the rate would drop to 2.80% starting in April but two weeks later, due to the pandemic, an emergency change was made to the market rate and it increased to 3.20% starting on March 25, 2020. Because the incremental costs of future growth and industrial capacity portions of projects are only eligible for market rate funding, blended interest rates are used for some projects.

III. STATE FISCAL YEAR 2020 IN REVIEW

A. Application for Capitalization Grant

The State's application package for the Federal Fiscal Year (FFY) 2019 Capitalization Grant was submitted to EPA on July 18, 2019. The grant was awarded by EPA on September 3, 2019. This grant consisted of \$40,607,975 in loan funds and \$2,347,025 for administration.

B. Grant Drawdowns

On June 30, 2020, at the close of the SFY, there was a balance of \$768,255 of administrative funds remaining from the FFY 2019 capitalization grant (see Table 4). Of that amount, \$565,352 had been expended but not yet drawn.

C. State Match Bonding

All state match, since SFY 2016, is generated from revenue bonds issued under the leveraged structure. A total of \$8,678,400 in state match funds was provided as match for the FFY 2019 grant.

The state match is provided in advance of cash draws on the capitalization grant.

As shown in Table 3, state match funds in the amount of \$225,602,131 have been disbursed to eligible projects in the federal SRF. Of that amount, \$8,591,000 was disbursed during SFY 2020.

D. Administrative Fees

The CWFP started collecting an administrative fee (0.25% of the outstanding loan balance) on new loans in SFY 2018. This fee is incorporated as part of the interest rate and does not result in an additional charge to loan

recipients. Fees collected in SFY 2018 totaled \$26,273; in SFY 2019 totaled \$181,519; and in SFY 2020 totaled \$302,215. These fees are collected and held outside the federal CW SRF.

E. Fund Restructuring

In SFY 2015, a new Program Resolution authorized Wisconsin to issue EIF revenue bonds. This allows the program to meet future financial assistance commitments without relying on State support.

The Program Resolution was adopted by the State Building Commission on October 7, 2015 and amended and restated on February 15, 2017. The State issued state match and leveraged revenue bonds on December 3, 2015; June 6, 2017; September 13, 2018; and May 13, 2020. Additional state match and leveraged bonds will be issued as needed.

F. Purchase of State-Funded Projects as Investment

On June 6, 2017, the State used \$523.7 million of funds within the CWSRF (\$358.2 million of equity funds and \$165.5 million of revenue bond proceeds) to purchase investment loans, being loans that originated on the State side of the CWFPA and that mimic loans originated within the CWSRF over time, that will provide \$623.3 million in funds for the CWSRF through May 1, 2033. For SFY 2020, these investments generated \$66.0 million of funds that will be used for new loans within the CWSRF and to pay debt service on EIF Revenue Bonds. For SFY 2021, the State expects the investments to generate \$61.4 million of funds.

G. Principal Forgiveness (PF)

- 1) Regular PF - The deadline for applying for PF funds was September 30, 2019. Principal forgiveness was allocated based on financial need as described in the SFY 2020 IUP. Regular PF totaling \$11,739,943 was initially allocated to twenty-eight projects on the SFY 2020 Funding List published on November 15, 2019. A total of \$14,330,998 in regular PF was available leaving \$2,591,055 unallocated on the funding list. Any PF still remaining after all SFY 2020 projects have closed will be rolled forward to future years.

Twenty-nine FAAs that included regular PF totaling \$13,912,750, were executed during the SFY (see Table 2); twenty-two of these agreements were for projects that appeared on the SFY 2019 Funding List.

- 2) Priority PF – SFY 2019 was the first year that Priority PF was available. Of the twelve projects that had loans executed during SFY 2020 that included Priority PF, seven were from the SFY 2019 Funding List. Twelve projects were allocated Priority PF on the SFY 2020 Funding List. This allocated PF totaled \$3,507,492, out of \$21,250,000 that was available. As of the end of the SFY, one loan had been executed that included Energy Efficiency PF in the amount of \$10,967 and eleven loans had been executed that included Phosphorus Reduction PF totaling \$6,010,609. The remaining projects that were allocated Priority PF have until February 2021 to close on loans. Information on these projects will be included in the SFY 2021 CWFPA Annual Report.
- 3) Status of PF from previous Capitalization Grants - The full amounts of PF from the FFY 2010 grant (\$8,249,685), the FFY 2011 grant (\$3,699,315), the FFY 2012 grant (\$3,185,193), the FFY 2013 grant (\$2,550,202), the FFY 2014 grant (\$3,094,052), the FFY 2015 grant (\$11,313,300); the FFY 2016 grant (\$14,448,400); and the FFY 2017 grant (\$14,337,200) have been awarded. During SFY 2020, PF in the amount of \$9,517,162 was awarded from the FFY 2018 grant (out of \$17,356,800). No PF has yet been awarded from the FFY 2019 grant. The remaining projects allocated PF on the SFY 2020 Funding List will all close on their FAAs by February 2021 and will be included in the SFY 2021 CWFPA Annual Report. Tables 5, 6, and 7 provide information regarding projects provided PF and/or Green Project Reserve funding from the FFYs 2017, 2018, and 2019 capitalization grants, respectively.

H. Projects Funded in SFY 2020

The draft IUP, covering anticipated activity during SFY 2020, was posted for public comment on the EIF website on June 27, 2019; comments were accepted for three weeks and one comment was received. A response to comments and the final IUP were posted on September 5, 2019. Applications for projects wishing to compete for PF were due by September 30, 2019. The CWFP Funding List was published on November 15, 2019 but has been revised to reflect additional applications that were received after the PF deadline. Any project that appeared on the SFY 2020 Project Priority List was eligible to apply for regular CWFP funding at any time during the SFY.

- 1) Loan Recipient Information – forty assistance agreements totaling \$268,341,566, were executed during SFY 2020. Twenty-nine of these agreements included PF that totaled \$19,934,326. Twenty-two of these agreements were for projects that appeared on the SFY 2019 Project Priority List; the remainder appeared on the SFY 2020 Project Priority List. All projects funded during SFY 2020 are listed in Table 1 with some additional project specific information (including the amount and type of PF funds received and projects or portions of projects counting towards the Green Project Reserve requirements) provided in Table 2.

Tables 1 and 2 provide the data required by the Operating Agreement. Table 1 provides, for the last three SFYs: the date of the binding commitment, the loan amount established in the FAA, the loan interest rate, the federally eligible dollar amount, loan classification (federal equivalency, principal forgiveness, etc.), construction start and complete dates, EPA Need category(s), facility permit number, type of assistance (loan or refinancing), and the SFY funded. Summary information is provided for SFYs prior to SFY 2018. Table 2 provides the priority score and project description for projects funded during SFY 2020, as well as which year's Project Priority List the project appeared on, the amount of PF funds awarded (broken out between Regular PF, Energy Efficiency PF, and Phosphorus Reduction PF), and Green Project Reserve amounts, if any.

- 2) Green Project Reserve (GPR) – The FFY 2019 Consolidated Appropriations Act (P.L. 116-6) continued the GPR requirements from recent years which requires that, to the extent there are sufficient eligible project applications, not less than 10% of the grant (\$4,295,500) be used to fund projects or portions of projects meeting GPR requirements.

During SFY 2020, the State once again exceeded the required amount of GPR funding, providing \$24.4 million in funding for GPR projects or portions of projects.

Seven projects were funded during the SFY that were green or contained green components, bringing GPR funding for the year to \$24,399,399. As has been the case most years since the green project reserve requirement first started, most of the GPR funding during SFY 2020 fell under energy efficiency.

- Milwaukee Metropolitan Sewerage District (3253-01) upgraded their Milorganite Dryer Burners at the Jones Island Water Reclamation Facility by installing 600 ft of gas pipe inside the facility, installing new burner systems capable of burning landfill gas or natural gas, and demolishing the existing fuel oil firing components (\$6,214,897).
- Four projects involved energy efficiency improvements to aeration systems:
 - Glenwood City (5502-01) replaced their existing aeration blowers and air distribution system and also replaced their existing static tube aerators with a new fine bubble aeration system. These changes are expected to reduce electrical usage at the wastewater treatment plant (WWTP) by 20-30% (\$10,673). Glenwood City also received a grant from Focus on Energy for their project and energy efficiency PF as a match to that grant.
 - The Village of Hawkins (4573-04) replaced their three existing aeration blowers with two new blowers outfitted with variable frequency drives (VFDs) and replaced the existing aerators with new fine

- bubble aerators. They also added additional insulation to the foundation and walls of the building. Overall energy savings from the project are estimated to be 30-40% (\$183,000).
- The Village of Rosendale (4150-03) installed new fine bubble diffusers in their aeration basins and also installed VFDs for the aeration blowers along with dissolved oxygen sensors to allow the blowers to operate more efficiently. The project is expected to generate energy savings of 43% (\$978,924).
 - The City of Superior (4186-23) also replaced their aeration blowers resulting in expected energy savings of 35% (\$1,910,660).
 - In addition to their energy efficiency improvements, the Village of Hawkins (4573-04) purchased a working dairy farm, taking it out of production and installing permanent vegetative cover in the fields in order to reduce phosphorus discharges to the watershed (\$140,000).
 - The Village of Oostburg (4455-05) installed a new oxidation ditch and converted their existing oxidation ditch from an orbital style to a carousel style. Aerators on the oxidation ditches have lower turndown capabilities and are expected to result in energy savings of over 191,000 kWh per year. New energy efficient lighting was also installed at various locations around the WWTP (\$138,245).
 - The City of Wausau (4138-05) is completing a major WWTP upgrade. Fifty-nine inefficient motors will be replaced with premium efficiency motors and VFDs will be installed on some. These improvements are expected to reduce energy usage by up to 23% (\$823,000). In addition, a Class A EQ Biosolids drying program is being implemented. This will provide greater flexibility in how the biosolids can be utilized, solves a disposal problem for the city, and allows the valuable nutrients to be utilized (\$14,000,000).

I. Federal Reporting

Federal reporting requirements are being met through an annual Clean Water National Information Management System (CWNIMS) electronic data submittal to EPA, the CBR reporting database (see section IV. D. below), Federal Funding Accountability and Transparency Act (FFATA) reporting, and this Annual Report.

IV. MEETING PROGRAM GOALS AND OBJECTIVES

The State's CWSRF program is designed to operate in perpetuity to provide low interest loans for water pollution control projects. A number of goals, objectives, and conditions have been established in the IUP, the Operating Agreement, and the grants.

The following nine conditions have been met as described in the Operating Agreement or the capitalization grant agreements:

- agreement to accept payments;
- state laws and procedures;
- state auditing and accounting procedures;
- abidance of the cash draw rules;
- use of the EPA-Automated Standard Application for Payment system (EPA-ASAP);
- repayments;
- annual audit;
- annual report; and
- annual review.

A. Intended Use Plan Goals

- **Continue to provide public outreach and education regarding program policies, procedures, and participation.**

The EIF provides public outreach and education on a regular basis. An Outreach Coordinator was hired during SFY 2014 in order to facilitate outreach efforts. EIF staff regularly speak at conferences around the State organized by groups such as the League of Municipalities, the Wisconsin Towns Association, and the Wisconsin Rural Water Association; the EIF has a comprehensive website that includes the entire EIF reference guide among its information, and newsletters containing pertinent information on policies and deadlines are posted frequently throughout the year along with a notification sent to an extensive email list. The website also includes copies of past newsletters and updates, Intended Use Plans, project priority lists and funding lists, and all required forms for the program, as well as links to other DNR programs, and a listing of and easy access to Wisconsin statutes and administrative codes that govern the CFWP. Public hearings and informational sessions are also held during administrative rule development and revisions. In addition to the efforts described above, Environmental loans staff have prepared a survey that is being sent to loan recipients from the past two years. This survey is soliciting feedback about various aspects of the loan programs, exploring areas of customer satisfaction, obstacles to accessing/utilizing the programs, areas for program improvement, and topics of interest. Responses will be utilized to improve the loan programs going forward.

- **Provide assistance in the form of principal forgiveness to municipalities that qualify under the affordability criteria in order to help offset the costs of regionalization and the cost of compliance with more protective phosphorus limits.**

SFY 2019 was the first year that our new Priority Principal Forgiveness programs were in effect. Nine applications were received in SFY 2019 and eleven in SFY 2020 for projects that qualified for Phosphorus Reduction PF. Only one of the SFY 2019 projects had an executed loan by the end of SFY 2019 and six of the remaining seven had loans executed during SFY 2020; the remaining SFY 2019 project was withdrawn due to a change in their phosphorus limit which eliminated the need for the project. Of the eleven applications received for Phosphorus Reduction PF in SFY 2020, five had executed loans by the end of SFY 2020; the remainder have until February 2021 to execute their loans.

No applications for Regionalization PF were received for SFYs 2019 or 2020 as regionalization projects take more time to pull together than normal projects but discussions have been ongoing with a number of municipalities. SFY 2021 applications were just submitted for two regionalization projects and more are expected in subsequent years. In addition, the criteria for qualifying for Regionalization PF was modified in the IUP covering SFY 2021. A municipality no longer has to qualify under the affordability criteria to be awarded Regionalization PF since federal regulations allow the use of additional subsidy under WRRDA for projects that promote sustainability. Disadvantaged applicants will be given priority if there is not enough Regionalization PF available to reach all applicants.

- **Provide matching funds in the form of principal forgiveness to municipalities that are working with Focus on Energy to upgrade the energy efficiency of their WWTPs.**

One loan was executed during SFY 2020 that included Energy Efficiency PF as a match to a grant from Focus on Energy (the Village of Oostburg (4455-05) \$10,967). One additional project that was originally expected to receive a grant from Focus on Energy (Glenwood City) did not receive the grant, so no Energy Efficiency PF was awarded. There is at least one more project remaining from the SFY 2020 funding list that has not yet executed a loan but is expected to receive a grant from Focus on Energy and Energy Efficiency PF as a match.

- **Continue to refine strategies, programs, and mechanisms to ensure that municipalities are able to address the highest priority water quality problems.**

The CWFP coordinated with the DNR's Water Quality Bureau during SFY 2018 and identified three categories of priority projects: regionalization, phosphorus reduction, and energy efficiency. These project categories were selected based in part on expected improvements to State water quality and the high cost of implementation that often precludes the involvement of economically challenged communities. To incentivize these project categories, a decision was made to start offering what has been designated *Priority Principal Forgiveness* (Priority PF), to projects that meet the eligibility criteria described in the applicable IUPs with the intent of spurring participation in these types of projects. The CWFP intends to periodically review the impact of the Priority PF strategy and make improvements, where necessary, to the approach for future years. An example of this was the decision to expand eligibility for Regionalization PF to municipalities that do not meet the affordability criteria as Federal regulations allow the use of additional subsidy under WRRDA for projects that promote sustainability.

Additional refinements to the CWFP include transitioning to an online application system and allowing loan terms of up to thirty years for projects that qualify. The online application system has improved accessibility to loan funds by streamlining the application process, adding efficiency by allowing the submittal of additional documentation through the documentation upload component, and reducing the amount of time spent completing the overall application and loan closing process. Loan terms of up to thirty years, for projects with a design life of over 20 years, went into effect starting in SFY 2019 and improves the overall affordability of these types of projects. The first 30-year loan was made during SFY 2020 to the City of Wausau for a major WWTP upgrade project that received a loan of \$91,673,286.

- **Allocate a minimum of 10% of capitalization grant funds to projects that incorporate water or energy efficiency, green infrastructure, or are environmentally innovative.**

As shown below, Wisconsin's CWFP has far surpassed the green project reserve funding requirement in each of the past ten fiscal years.

Federal Fiscal Year	Amount of GPR Funding Required	Amount of GPR Funding Provided
2010	\$11,016,600	\$21,963,538
2011	\$7,984,200	\$7,984,200
2012	\$3,820,800	\$21,997,846
2013	\$3,609,300	\$33,702,959
2014	\$3,790,500	\$17,281,444
2015	\$3,771,100	\$108,425,154
2016	\$3,612,100	\$5,268,798
2017	\$3,584,300	\$48,916,878
2018	\$4,339,200	\$5,323,216
2019	\$4,295,500	\$24,399,399
Total GPR Amounts	\$49,823,600	\$295,263,432

In total, Wisconsin's CWFP has provided 593% of the required GPR funding amount over the ten years since the GPR requirement was established. Details regarding projects in which GPR funding was provided in SFY 2020 are discussed in section III. G. 2 above.

- **Provide additional economic assistance in the form of principal forgiveness with the highest amounts allocated to those applicants with the greatest financial need.**

Principal forgiveness is allocated to the highest scoring projects on the funding list, but the methodology used for allocation (described in the SFY 2020 Intended Use Plan) awards the highest percentages of PF to the smallest and lowest income communities. As shown in Table 2, twenty-nine loan agreements including regular PF totaling \$13,912,750 were issued during the SFY (this number does not include PF awards for phosphorus reduction, or energy efficiency). Principal forgiveness funding is discussed in more detail in section III. F. above.

- **Work with internal and external parties to identify options for funding innovative projects that enhance water quality, including nonpoint-source pilot projects for meeting phosphorus requirements through adaptive management and water quality trading.**

CWFP staff completed work during SFY 2020 to get the Pilot Project Program up and running. The Pilot Project Program is designed to fund non-traditional methods of meeting water quality discharge limits, especially those related to phosphorus. Specifically, nutrient trading and adaptive management have been identified as alternatives to paying for expensive upgrades at wastewater treatment plants to reduce phosphorus in Wisconsin's surface waters. The CWFP has been coordinating with the Water Quality Bureau to increase awareness of the Pilot Project Program, especially in areas of the State most significantly impacted by stricter phosphorus limits. Work efforts on the program had slowed significantly over previous years due to other workload priorities, but a new team was constituted and regular meetings were held. Initial program parameters were defined and an application form was developed. The focus of the program, at first, will be on funding water quality trading projects. Adaptive management and other DNR-approved approaches to meeting WPDES permit requirements as well as other non-traditional projects will be considered on a case-by-case basis.

In addition, CWFP staff are working with the Milwaukee Metropolitan Sewerage District (MMSD) on its CWFP application for green infrastructure projects intended to control non-point runoff. Initially we thought this project could be funded through the normal process for stormwater projects, but after more discussion have decided that it is different enough from a normal stormwater project that the Pilot Projects Program is a better fit. This project is unique in the way MMSD is working with subscribing municipalities to reduce stormwater entering the collection system, the types of agreements CWFP staff are accepting and reviewing to make sure requirements are met, and the way multiple entities are procuring the construction services. Providing funding through the Pilot Projects Program will also allow green infrastructure projects being constructed by both public and private entities to be included in the loan. This project is a good example of how the CWFP is working to fund innovative projects.

- **Develop strategies and mechanisms to promote regionalization as a viable alternative for small, economically challenged communities with declining populations and aging WWTPs.**

Promoting regionalization of WWTPs has long been a goal of the State's wastewater program. In order to encourage regionalization, additional priority points were awarded for regionalization projects that submitted an Intent to Apply (ITA) and Priority Evaluation and Ranking Formula (PERF) starting with SFY 2019 submittals. Subscribing municipalities received 100 additional points; receiving municipalities received 50 additional points if a WWTP upgrade was needed to handle increased flow resulting from regionalization; and each municipality participating in the joint construction of a new regional WWTP received 100 additional points. These additional points are continuing to place regionalization projects at the top of the priority list.

The CWFP coordinated with the Water Quality Bureau and identified regionalization as one of three categories of priority projects. To incentivize regionalization projects, the CWFP set aside a total of \$9,000,000 in principal forgiveness in SFY 2020 but reduced that amount slightly to \$8,000,000 in SFY 2021. These funds are being offered as Priority PF to projects that result in the elimination of at least one publicly owned WWTP discharge with the resulting flow being redirected to a neighboring municipality. Regionalization PF will be awarded in the amount of

\$2,000,000 for the elimination of the first WWTP discharge, with an additional \$1,000,000 awarded for each additional WWTP discharge eliminated as a part of the same project. The award will be allocated proportionally to the municipalities involved based on the costs of regionalization attributed to each municipality.

Though no applications were received in SFYs 2019 or 2020 for regionalization projects, the SFY 2021 Project Priority List included at least eight potential regionalization projects (with ITAs submitted by eleven municipalities) and applications were submitted for SFY 2021 for two of these projects. Discussions with these municipalities are ongoing, and it is expected that several more may apply in SFY 2022. The CWFP intends to make this incentive available for the next several years, making adjustments and improvements where needed.

In addition, the criteria for qualifying for Regionalization PF was modified in the IUP covering SFY 2021. A municipality no longer has to qualify under the affordability criteria to be awarded Regionalization PF since federal regulations allow the use of additional subsidy under WRRDA for projects that promote sustainability. Disadvantaged applicants will be given priority if there is not enough Regionalization PF available to reach all applicants

- **Maintain effective partnerships with other State and Federal financing sources to coordinate funding and promote efficiency for both the agencies and the applicants.**

The EIF program has been working with the Community Development Block Grant (CDBG) Public Facilities program and USDA Rural Development (RD) to better coordinate funding for projects seeking money from multiple sources. Staff from the EIF, CDBG, and RD have been instrumental in distributing funding lists between agencies and actively participating in RD's monthly conference calls to keep apprised of the progress of jointly funded projects and more quickly identify potential issues. EIF and RD staff often attend conferences in tandem and, when practicable, share the same booth to illustrate our agencies' collaboration to customers and better serve customers seeking funding information. EIF efforts have resulted in collaboration at earlier stages of potential jointly-funded projects and improved the accuracy of documentation regarding the anticipated allocation of the sources of funding when developing the budgets for FAAs. For projects that include both water main and sanitary sewer replacements along with road reconstruction, the EIF added flexibility in the code, allowing the CWFP to accept different types of bidding documentation from the Wisconsin Department of Transportation (WisDOT) than it would from projects bid by a municipality, as the WisDOT process is quite different. This has allowed the EIF to more seamlessly fund projects with DOT involvement. In addition to funding from CDBG, RD, and WisDOT, the EIF has jointly funded projects with the Army Corp of Engineers, STAG, and the state-funded Urban Nonpoint Source & Storm Water Construction Grant program, among others. The EIF is also partnering with Wisconsin Focus on Energy to promote energy efficiency improvements at WWTPs.

- **Protect the public health and environmental quality of Wisconsin.**

The CWFP, in coordination with the Water Quality Bureau, identified phosphorus reduction as a means of protecting the public health and environmental quality of the State. To incentivize phosphorus reduction projects, the CWFP earmarked \$12,000,000 starting in SFY 2019 (and maintained the \$12,000,000 level for SFYs 2020 and 2021) to be offered as Priority PF to economically disadvantaged municipalities subject to the most stringent phosphorus limits. In order to qualify, a municipality must meet the eligibility criteria laid out in the IUP and be eligible to receive Regular PF.

For communities that meet the eligibility criteria, the Phosphorus Reduction PF will be calculated as 50% of the phosphorus-specific costs up to a cap of \$1,000,000 for projects designed to meet the final water quality-based effluent limit for phosphorus. For applicants where the project is designed to meet an interim limit for phosphorus, the Phosphorus Reduction PF incentive will be 25% of the phosphorus-specific costs up to a cap of \$500,000.

As was discussed earlier in this report, eleven projects were allocated Phosphorus Reduction PF on the SFY 2020 Funding List. Eleven projects also executed loans that included Phosphorus Reduction PF during the SFY but six of

those projects were from the SFY 2019 Funding List. The remaining seven projects have until February 2021 to execute their loans. The CWFP intends to make these incentives available for the next several years, making adjustments and improvements where needed. The CWFP will continue to consider other mechanisms or priority categories that will benefit public health and environmental quality.

Wisconsin's federal SRF issued 40 new loans totaling \$268,341,566 in federally eligible costs during SFY 2020 and disbursed funds to existing projects in the amount of \$153,591,620. All of these projects helped to protect public health and the environmental quality of the State. No new projects were funded from the State accounts.

- **Manage the revolving loan fund in such a way as to protect its long-term integrity and enable it to revolve in perpetuity.**

Over 67% of the loan balances in the federal portfolio as of June 30, 2020 have double-A or better credit ratings. In addition, almost 69% of the state-funded loans that the EIF purchased as an investment have double-A or better credit ratings. The State has mechanisms in place to protect the State's investments, such as the ability to intercept local aid payments to communities that fail to repay their loans.

- **Provide economic assistance to municipalities, including those who may not have substantial credit histories, for the purposes of constructing and maintaining water quality related infrastructure.**

The CWFP offers low-interest loans (subsidized below the State's market rate) for eligible projects to municipalities that are able to demonstrate to DOA that they have the ability to repay the loan. Currently 16.0% of the loan balances in the federal portfolio are for communities that are rated below investment grade due to their having less resources or weaker balance sheets and 14.6% of the state-funded loans purchased as an investment are rated below investment grade.

- **Identify and implement innovative programs necessary to fill funding gaps in the State for meeting water quality standards and objectives.**

The level of communication that occurs between the Water Quality Bureau and the CWFP improved significantly over the past few years. Very low staffing levels and heavy workload in the Water Quality Bureau made it difficult in past years for them to put any significant amount of time into new initiatives. Over the past few years, additional staff were hired and are interested in working with the CWFP to identify water quality priorities that funding has not been reaching and in finding innovative solutions to assist municipalities with financing project types that would otherwise not fit well into the CWFP's regular loan funding or that would be unaffordable without a different funding mechanism or additional subsidy. CWFP staff continue to meet with Water Quality staff to keep communications going and collaborate to develop innovative solutions to water quality issues in Wisconsin, including continuing to work on the Pilot Project Program to find innovative ways of financing adaptive management, water quality trading, and other non-traditional water quality improvement projects.

- **Implement policy changes to encourage sustainability.**

While there have been discussions regarding sustainability of wastewater treatment facilities over the past several years, other policy initiatives and heavy workloads have taken precedence. Wisconsin is seeing stronger storms than in the past though, and flooding from heavy rainfall is becoming common and impacting water infrastructure. The CWFP expects to look at how municipalities can be assisted in fortifying their wastewater treatment facilities against flooding and other types of storm damage.

B. Operating Agreement Goals

The Operating Agreement requires Wisconsin to establish in the annual report that:

- **all Section 212-funded projects have been reviewed in accordance with approved environmental review procedures;**

Each project funded under the federal SRF has been reviewed as required under the State Environmental Review Process.

- **the SRF is in compliance with Title II equivalency requirements specified in Sec. 602(b)(6) of the CWA and 40 CFR Part 35.3135 (f);**

Federal Equivalency projects, under Title II, in the amount of \$366,144,659 have been funded by the SRF. Of this amount, \$323,987,443 was for federally eligible project costs, and actually funded by the federal SRF. Documentation regarding federal equivalency under Title II of the Clean Water Act was submitted to EPA on January 29, 2001.

- **all SRF project funding is consistent with the planning requirements of Sections 205(j), 208, and 303(e);**

Each project receiving funding through the SRF conformed with federal (and state) mandated planning requirements contained in Sections 205(j), 208, and 303(e). Conformance reviews for all SRF projects were completed by the DNR's Water Quality Bureau with certification in the approval of the facilities plans and plans and specifications to the CWFP prior to issuing the binding commitment. No treatment works projects, regardless of funding source (including privately funded projects), may be approved under s. 281.41, Wis. Stats., without a finding of conformance.

- **the State complied with all applicable Federal cross-cutting authorities;**

Projects designated as federal equivalency projects, (projects utilizing funds "directly made available by the capitalization grant") were determined to be in compliance with Federal cross-cutting authorities.

- **the State match was deposited in the Federal Account on or before the date quarterly grant payments were made;**

The state match is authorized by state statutes and is available prior to the award of each capitalization grant. In recent years the CWFP has been completely disbursing state match first to eligible projects, then drawing down the capitalization grant, and lastly disbursing funds from federal repayments or revenue bond proceeds. State matching occurs in the amount necessary to maintain the required 83.33% federal to 16.67% state split.

- **funds were expended in an expeditious and timely manner;**

Since the first disbursements were made in April 1991, Wisconsin's CWSRF has drawn over \$1.23 billion from the FFY 1989 through FFY 2019 capitalization grants (including the ARRA grant), as well as disbursing almost \$1.18 billion from federal repayments and interest earnings on investments, over \$194 million from leveraged bonds, and over \$225 million in state match funds.

- **the State made binding commitments to provide assistance equal to 120 percent of the amount of each grant payment within one year of receipt of grant payments;**

As of June 30, 2020, Financial Assistance Agreements totaling \$3,398,638,403 had been issued for federally funded projects. Of this amount \$3,108,674,213 was or will be disbursed from federal funds. (Some projects received funding from both the state and the federal sides of the program. Efforts are underway to correct errors in the splits between state and federal funding.) These amounts, along with the \$49,670,775 in cumulative administrative funds, far exceed the 120% requirement for all base program capitalization grants received to date (the Rural Hardship

grant required a 5% state match and the ARRA grant had no state match requirement). From the beginning of the CWFP, binding commitments in excess of 120% of the capitalization grant amounts have been made within one year of receipt of grant payments.

- **and the State complied with the MBE/WBE requirements.**

The applicable “Fair Share” goals for SFY 2020 were 8.0% for MBE (minority business enterprise) firms and 8.0% for WBE (women’s business enterprise) firms. All projects funded by the CWFP are required to make a “Good Faith Effort” to meet these goals and follow the six affirmative steps under 40 CFR 31.36(e).

Reporting on disadvantaged business utilization is done when loan projects close out at the end of construction. This gives a more accurate number, as it is actual utilization rather than an estimate. The requirement for submitting DBE reports to EPA has changed from quarterly to semi-annually to annually with reports now being submitted in October. This annual reporting does not correlate to the state fiscal year, so the numbers given in this annual report will be from the one annual report submitted during the SFY (in October 2019). Percentages given in this report cover projects that closed out between October 1, 2018 and September 30, 2019. Projects that closed out between October 1, 2019 and September 30, 2020 will be included in the SFY 2021 Annual Report.

For the federal SRF projects that closed out between October 1, 2018 and September 30, 2019, the overall percentages achieved were: MBE 3.14% and WBE 0.19%, for a combined total of 3.33%. In addition, 0.56% participation was achieved for other DBEs that did not qualify as MBEs or WBEs.

C. Compliance with Grant Conditions from the FFY 2019 Capitalization Grant

- Administrative condition A includes numerous conditions that previously were listed separately. The State meets all the applicable general terms and conditions included in the document titled *EPA General Terms and Conditions Effective October 1, 2018*, which is the set of terms and conditions in effect at the time the grant was awarded.
- Administrative condition B provides email addresses for submittal of various required reports and notifications. This condition has been complied with as applicable.
- Administrative condition C regarding requests for no-cost project/budget period extensions did not require any action by the State.
- Administrative condition D regarding utilization of small, minority and women’s business enterprises has been addressed in the above section.
- Programmatic condition A regarding ASAP utilization and the payment schedule has been complied with.
- Programmatic condition B requires the State to deposit state match equal to at least 20% of the capitalization grant award into the SRF. This requirement is met every year as discussed in section III. C. above.
- Programmatic condition C, which states that the Intended Use Plan and Operating Agreement are incorporated into the capitalization grant assistance agreement, is understood by the State and has been complied with.
- Programmatic condition D regarding travel did not require additional action by the State. Federal administrative funds are used to pay for SRF-related travel, as appropriate.
- Programmatic condition E regarding the Clean Water National Information Management System (CWNIMS) has been complied with. CWNIMS reporting was completed on schedule.
- Programmatic condition F regarding environmental benefits reporting has been complied with. See section IV. D. below.
- Programmatic condition G regarding Annual Reporting is being met by submission of this report.

- Programmatic condition H regarding Program Income from Administrative Fees is being complied with. The CWFP is now accessing a service fee on loans issued starting in SFY 2018. This fee is currently 0.25% of the outstanding loan balance and is included in the interest rate being charged on the loan. Applicants have not seen any changes in the loan interest rate due to the service fee. Fees collected during SFY 2018 totaled \$26,273.48; fees collected during SFY 2019 totaled \$181,518.63; and fees collected during SFY 2020 totaled \$302,214.84 bringing total service fees collected to date to \$510,006.95. These funds are being held in the Equity fund within the SRF.
- Programmatic condition I regarding signage has been met. Projects designated as federal equivalency are required to comply with the signage requirement.
- Programmatic condition J regarding the green project reserve requirements has been met (see sections III. G. 2 and IV. A. above, and Tables 5 to 7).
- Programmatic condition K regarding Additional Subsidy has been met. See section III. F. above.
- Programmatic condition L regarding Geospatial Data Standards does not currently apply as no geospatial data is being created using capitalization grant funds.
- Programmatic condition M regarding the use of American iron and steel is being met. All loan recipients are required to comply with the use of American iron and steel provisions of WRRDA. Applicants must include the provisions in construction contracts and sign a certification that their bids have met the requirement prior to closing on the FAA, and make a further certification regarding compliance at project closeout.
- Programmatic condition O regarding cybersecurity has been complied with, as applicable.
- Programmatic condition P regarding pre-award costs is understood by the State and required no further action.
- Programmatic condition Q regarding Davis-Bacon Wage Rate Requirements has been met. Conditions requiring compliance with the Davis-Bacon Act have been included in all FAAs, and the construction contracts funded by these agreements are also required to include the appropriate language. In addition, all disbursement requests must be accompanied by the *Davis Bacon and Related Acts Payroll Certification* form (Form 8700-215A).

D. Environmental Benefits Reporting

The State of Wisconsin has been meeting environmental benefits reporting requirements since January 2005 by completing the information in the CBR database developed and maintained by EPA. Assistance information – including additional subsidization and GPR funding as well as information on the environmental benefits of the project – is normally entered in CBR by the end of the quarter in which the assistance was awarded.

V. FINANCIAL HEALTH OF SRF

As of the end of SFY 2020, a total of \$1,233,735,230 has been drawn from the FFY 1989 through 2019 capitalization grants (see Tables 3 and 4). This is made up of \$49,105,423 for administration and \$1,184,629,807 in project costs. In addition to the capitalization grant funds disbursed, an additional \$1,179,603,516 from federal repayments and account earnings has been disbursed, as well as \$194,357,800 from the leveraged bonds, and \$225,602,131 in state matching funds.

The State maintains revenue bond proceeds and bonding authority in excess of the state match requirements for all of the capitalization grants received to date. State match is now being generated through the leveraged program.

Principal payments on loans are due in May of each year with interest payments in May and November. For SFY 2020, payments totaling \$109,527,401 in principal and \$27,974,062 in interest were received through June 30, 2020. Total principal and interest received since the beginning of the program amounts to \$2,003,240,823.

The financial health of the SRF is sound due to several programmatic features, primarily concerning the quality of loans in the portfolio. Loan credit quality is enhanced by a number of factors: 1) the use of conservative underwriting standards; 2) carefully crafted loan and bond structures and supporting documents; and 3) security devices provided by state law such as the ability to intercept local aid payments to communities that fail to repay. As of the end of the fiscal year, 67.1% of loan balances outstanding in the federal SRF were of double-A or better credit quality. In addition, 68.7% of the State-funded projects that were purchased as an investment are rated double-A or better.

A final Balance Sheet and Consolidating Statement of Revenues, Expenditures, and Changes in Fund Equity as of June 30, 2020, for the State of Wisconsin Clean Water Fund Program will be submitted under separate cover.

Table 1: Project Information - All Years

Municipality or SFY	Project Number	Binding Com. Date	FAA \$ Amount	Interest Rate	Federally Eligible \$s	Loan Class#	Construction Start	Construction End	Need Category	Facility Permit #	Loan or Refin.
SFY 1991			\$268,063,583		\$228,610,594						Totals for 21 loan agreements
SFY 1992			\$55,930,326		\$43,944,881						Totals for 5 loan agreements
SFY 1993			\$31,611,966		\$28,783,741						Totals for 7 loan agreements
SFY 1994			\$48,475,707		\$46,857,281						Totals for 9 loan agreements
SFY 1995			\$50,105,817		\$48,618,037						Totals for 8 loan agreements
SFY 1996			\$52,714,738		\$50,536,894						Totals for 8 loan agreements
SFY 1997			\$34,680,014		\$34,680,014						Totals for 5 loan agreements
SFY 1998			\$28,080,176		\$28,080,176						Totals for 5 loan agreements
SFY 1999			\$65,768,291		\$43,090,143						Totals for 11 loan agreements
SFY 2000			\$51,723,045		\$51,723,045						Totals for 20 loan agreements
SFY 2001			\$47,436,187		\$47,436,187						Totals for 15 loan agreements
SFY 2002			\$215,421,753		\$214,512,667						Totals for 28 loan agreements
SFY 2003			\$128,225,415		\$128,225,415						Totals for 10 loan agreements
SFY 2004			\$4,751,305		\$4,708,964						Totals for 6 loan agreements
SFY 2005			\$140,727,374		\$135,833,676						Totals for 27 loan agreements
SFY 2006			\$51,353,106		\$51,353,106						Totals for 18 loan agreements
SFY 2007			\$138,859,700		\$138,859,700						Totals for 11 loan agreements
SFY 2008			\$167,155,093		\$167,085,257						Totals for 19 loan agreements

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Municipality or SFY	Project Number	Binding Com. Date	FAA \$ Amount	Interest Rate	Federally Eligible \$s	Loan Class#	Construction Start	Construction End	Need Category	Facility Permit #	Loan or Refin.
SFY 2009			\$123,036,389		\$65,393,111	Totals for 14 loan agreements					
SFY 2010			\$253,527,416		\$162,317,869	Totals for 46 loan agreements					
SFY 2011			\$136,979,105		\$121,503,873	Totals for 23 loan agreements					
SFY 2012			\$140,867,014		\$130,029,570	Totals for 27 loan agreements					
SFY 2013			\$99,047,836		\$91,535,307	Totals for 20 loan agreements					
SFY 2014			\$119,117,319		\$115,796,726	Totals for 20 loan agreements					
SFY 2015			\$116,758,305		\$116,758,305	Totals for 24 loan agreements					
SFY 2016			\$214,942,388		\$214,942,388	Totals for 23 loan agreements					
SFY 2017			\$80,394,780		\$66,634,904	Totals for 27 loan agreements					
Baraboo, City of	4153-04	04/11/18	\$3,006,179	1.760%	\$3,006,179	PF/F	Jun-18	Jan-19	II	WI0020605	L
Blair, City of	5357-02	11/08/17	\$880,970	1.056%	\$880,970	PF/F	Jul-16	Apr-17	II	WI0030970	L
Bloomer, City of	4317-02	01/24/18	\$1,355,520	0.000%	\$1,355,520	PF/F	May-17	Apr-18	II	WI0020575	L
Chetek, City of	4581-07	12/27/17	\$540,870	1.056%	\$540,870	PF/F	Apr-17	May-18	I,IIIB	WI0021598	L
Cross Plains, Village of	4417-05	05/23/18	\$1,134,191	1.870%	\$1,134,191	CD	Apr-18	Nov-18	IIIB	WI0020788	L
Curtiss, Village of	4099-06	12/27/17	\$1,407,935	1.056%	\$1,407,935	PF/F	Oct-17	Aug-18	I	WI0031445	L
Denmark, Village of	4074-08	12/13/17	\$383,122	1.760%	\$383,122	PF/F	Mar-17	Nov-17	I	WI0021741	L
Dorchester, Village of	4020-04	05/23/18	\$443,729	1.870%	\$443,729	PF/F	Apr-17	Jun-18	IIIA,IIIB	WI0021571	L/R
Eastman, Village of	4030-03	04/11/18	\$1,078,271	1.870%	\$1,078,271	PF/F	Apr-17	Dec-17	I,II,IIIB	WI0036765	L/R
Evansville, City of	4739-06	06/27/18	\$3,994,925	1.870%	\$3,994,925	CD	May-18	Jul-19	I	WI0023957	L
Fond du Lac, City of	4028-18	04/25/18	\$3,687,278	1.870%	\$3,687,278	PF/F	Feb-18	Dec-19	I,II	WI0023990	L
Greenwood, City of	4432-06	06/27/18	\$917,082	1.122%	\$917,082	PF/F	May-18	Nov-18	IIIB	WI0020249	L
Horicon, City of	4628-11	05/09/18	\$637,813	1.870%	\$637,813	CD	Apr-17	Jun-18	IIIB	WI0020231	L/R
Johnson Creek, Village of	4705-02	05/09/18	\$2,821,245	1.870%	\$2,821,245	PF/F	Feb-18	Nov-19	I	WI0022161	L
Madison MSD	4010-44	12/27/17	\$1,439,043	1.760%	\$1,439,043	CD	Feb-17	Sep-17	IIIB	WI0024597	L
Manitowoc, City of	4679-10	12/13/17	\$10,494,459	1.760%	\$10,494,459	PF/F	Mar-17	Nov-18	I,II	WI0024601	L
Mayville, City of	4012-09	06/13/18	\$550,172	1.870%	\$550,172	PF/F	Mar-18	Nov-18	IIIA,IIIB	WI0024643	L
Mellen, City of	4301-03	02/14/18	\$2,341,978	0.000%	\$2,341,978	PF/F	Mar-17	Nov-17	I	WI0020311	R
Milwaukee MSD	3229-01	12/13/17	\$3,852,100	1.760%	\$3,852,100	FE-VI	Nov-16	Apr-18	IIIB	WI0036820	L

Table 1: Project Information - All Years

Municipality or SFY	Project Number	Binding Com. Date	FAA \$ Amount	Interest Rate	Federally Eligible \$s	Loan Class#	Construction Start	Construction End	Need Category	Facility Permit #	Loan or Refin.
Milwaukee MSD	3230-01	01/10/18	\$3,077,435	1.760%	\$3,077,435	FE-VI	Aug-17	Apr-19	IIIB	WI0036820	L
Milwaukee MSD	3234-01	12/13/17	\$5,686,226	1.760%	\$5,686,226	FE-VI	Oct-16	Feb-18	I	WI0036820	L
Milwaukee MSD	3242-01	01/10/18	\$2,800,165	1.760%	\$2,800,165	FE-VI	Jul-17	Sep-18	I	WI0036820	L
Milwaukee, City of	4428-24	03/28/18	\$22,919,705	1.760%	\$22,919,705	PF/F	Jan-16	Dec-16	IIIA,IIIB	WI0036820	L/R
Mount Horeb, Village of	4375-10	12/13/17	\$16,750,660	1.757%	\$16,750,660	CD	May-17	Dec-19	I	WI0020281	L
New Auburn, Village of	5218-03	03/28/18	\$243,957	1.760%	\$243,957	PF/F	Jun-17	Sep-17	IIIB	WI0030635	L
New Lisbon, City of	4036-04	12/27/17	\$7,855,962	1.531%	\$7,855,962	PF/F	Sep-16	May-18	I,IIIB,IVB	WI0020699	R
Owen, City of	5139-04	11/08/17	\$1,201,351	0.000%	\$1,201,351	PF/F	Aug-16	Jun-17	IIIA,IIIB	WI0020940	L
Park Falls, City of	4329-10	12/27/17	\$1,127,940	1.056%	\$1,127,940	PF/F	May-17	Oct-17	IIIA,IIIB	WI0029033	L
Racine, City of	4285-19	06/27/18	\$13,788,291	1.870%	\$13,788,291	PF/F	Sep-17	Jun-19	IIIB	WI0025194	L
Racine, City of	4285-22	05/23/18	\$758,958	1.870%	\$758,958	PF/F	Aug-15	Dec-16	IIIB	WI0025194	L
Reedsburg, City of	4139-10	03/28/18	\$2,169,666	1.056%	\$2,169,666	PF/F	May-17	Jun-18	II	WI0020371	L
Reeseville, Village of	4249-03	05/09/18	\$429,613	1.122%	\$429,613	PF/F	Jul-17	Sep-17	IIIB	WI0028509	L
Rib Mountain MSD	4021-09	03/28/18	\$3,828,356	1.760%	\$3,828,356	CD	Nov-17	Oct-18	II	WI0035581	L
Rothschild, Village of	5531-02	12/13/17	\$427,513	1.760%	\$427,513	CD	May-17	Sep-17	IIIA,IIIB	WI0035581	L
Spencer, Village of	4224-02	03/28/18	\$2,977,250	1.235%	\$2,977,250	PF/F	Jan-18	Oct-18	I	WI0021521	L
St. Croix Falls, City of	4444-04	12/27/17	\$1,313,145	1.056%	\$1,313,145	PF/F	Apr-16	Jul-17	IIIB	WI0020796	L
Two Rivers, City of	4107-18	02/28/18	\$1,328,885	1.760%	\$1,328,885	PF/F	Jan-17	Sep-18	IIIA,IIIB	WI0026590	L
Viroqua, City of	4052-07	11/22/17	\$3,053,393	0.455%	\$3,053,393	PF/F	Sep-16	Oct-18	I	WI0021920	L
Wisconsin Rapids, City of	4076-05	05/23/18	\$7,727,469	1.870%	\$7,727,469	PF/F	Mar-18	May-18	IIIB	WI0025844	L
SFY 2018			\$140,432,822		\$140,432,822						
Adell, Village of	4008-02	08/22/18	\$1,150,577	1.870%	\$1,150,577	PF/F	Jul-18	Jul-19	I,II	WI0036811	L
DeSoto, Village of	4434-03	07/25/18	\$354,030	1.870%	\$354,030	PF/F	Mar-18	Oct-18	IIIB	WI0029793	L
Dousman, Village of	4277-04	04/10/19	\$3,179,170	1.980%	\$3,179,170	PF/F	Jul-18	May-19	I	WI0021351	L
Freedom SD #1	4487-05	06/26/19	\$4,572,325	1.493%	\$4,572,325	CD	Sep-18	Oct-19	I,II	WI0020842	L/R
Hingham SD	4271-01	08/22/18	\$1,154,577	1.870%	\$1,154,577	PF/F	Jul-18	Jul-19	I,II	WI0036811	L
Holmen, Village of	4308-07	03/27/19	\$13,081,981	1.980%	\$13,081,981	CD	Feb-19	Nov-20	I,II	WI0024261	L
Ladysmith, City of	4574-09	06/26/19	\$793,782	1.188%	\$793,782	PF/F	May-19	Sep-19	IIIB	WI0021326	L
Markesan, City of	5100-04	12/26/18	\$2,471,899	0.853%	\$2,471,899	PF/F	Apr-18	Jun-19	I,II	WI0049344	L/R
Mayville, City of (Amend)	4012-09	06/26/19	\$52,708	1.870%	\$52,708	PF/F	Mar-18	Nov-18	IIIB	WI0024643	L
Milwaukee MSD	3237-01	07/11/18	\$2,518,356	1.870%	\$2,518,356	FE-VI	Nov-17	Oct-19	I	WI0036820	L
Milwaukee MSD	3240-01	07/11/18	\$3,526,950	1.870%	\$3,526,950	FE-VI	Jan-18	Oct-19	I	WI0036820	L
Milwaukee MSD	3243-01	07/11/18	\$4,381,764	1.870%	\$4,381,764	FE-VI	Jan-18	Mar-19	I	WI0036820	L
Milwaukee MSD	3244-01	07/11/18	\$3,350,079	1.870%	\$3,350,079	FE-VI	Feb-18	Feb-20	I	WI0036820	L
Milwaukee MSD	3252-01	06/12/19	\$3,449,260	1.980%	\$3,449,260	FE-VI	Nov-18	Sep-19	I	WI0036820	L
Milwaukee MSD	3254-01	01/09/19	\$6,062,715	1.870%	\$6,062,715	FE-VI	Jul-18	Dec-19	I	WI0036820	L

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Municipality or SFY	Project Number	Binding Com. Date	FAA \$ Amount	Interest Rate	Federally Eligible \$s	Loan Class#	Construction Start	Construction End	Need Category	Facility Permit #	Loan or Refin.
Milwaukee, City of	4428-25	02/27/19	\$18,090,040	1.980%	\$18,090,040	PF/F	Jan-17	May-18	IIIA,IIIB	WI0036820	L/R
Montfort, Village of	4422-05	05/22/19	\$1,190,659	1.980%	\$1,190,659	PF/F	Jul-18	Jan-19	I	WI0024821	R
Necedah, Village of	4212-04	12/26/18	\$257,865	1.122%	\$257,865	PF/F	Jun-18	Sep-18	IIIB	WI0020133	L/R
Orfordville, Village of	5291-01	11/28/18	\$1,270,350	1.687%	\$1,270,350	PF/F	Feb-18	Oct-19	I	WI0021709	L
Rock Springs, Village of	4651-04	05/22/19	\$386,889	1.980%	\$386,889	PF/F	Jun-18	Jun-19	IIIB	WI0029041	L/R
Sparta, City of	4005-05	12/26/18	\$877,271	1.870%	\$877,271	PF/F	Jul-18	Oct-18	IIIB	WI0020737	L
Stevens Point, City of	4001-14	09/12/18	\$19,827,115	1.892%	\$19,827,115	PF/F	Aug-18	Jul-19	II	WI0029572	L
Superior, City of	4186-24	07/11/18	\$2,056,129	1.870%	\$2,056,129	PF/F	Apr-18	Jun-19	IIIA,IIIB	WI0025593	L
Three Lakes SD #1	4596-04	08/22/18	\$4,470,273	0.000%	\$2,408,400	PF/S	May-18	Aug-19	I,IIIB	WI0022853	L
Two Rivers, City of	4107-40	10/24/18	\$5,377,695	1.870%	\$5,377,695	PF/F	May-18	Mar-19	I,IIIB	WI0026590	L
Waukesha, City of	4062-06	10/24/18	\$20,205,408	1.870%	\$20,205,408	CD	Sep-18	Nov-19	IVB	WI0029971	L
SFY 2019			\$124,109,867		\$122,047,994						
Arlington, Village of	4519-03	04/22/20	\$1,039,091	1.760%	\$1,039,091	PF/F	Apr-20	Nov-20	IIIB	WI0021512	L
Ashippun SD #1	5104-04	04/22/20	\$406,935	1.540%	\$406,935	PF/F	Jan-20	Jun-20	II	WI0031381	L
Augusta, City of	4545-07	12/23/19	\$1,405,925	0.990%	\$1,405,925	PF/F	Apr-19	Dec-19	IIIB	WI0023272	L/R
Beloit, Town of	4228-06	01/22/20	\$3,719,239	1.650%	\$3,719,239	PF/F	Sep-17	Jul-19	IVA	WI0026930	R
Bloomer, City of	4317-03	03/25/20	\$3,092,386	0.920%	\$3,092,386	PF/F	Dec-19	Oct-20	II	WI0020575	L
Brookfield, City of	4275-18	06/24/2020	\$4,474,506	1.760%	\$4,474,506	CD	Mar-20	Jul-21	II	WI0023469	L
Brooklyn, Village of	4662-06	07/24/19	\$1,738,265	1.830%	\$1,738,265	PF/F	May-19	Jun-20	II	WI0023485	L
Clintonville, City of	4524-03	01/22/20	\$792,742	0.000%	\$792,742	PF/F	Jun-19	Nov-19	IIIB	WI0021466	L
Consol. Koshkonong SC	4972-03	08/28/19	\$9,007,155	1.760%	\$9,007,155	PF/F	Apr-19	Feb-21	I,II	WI0021059	L
Crandon, City of	4654-08	06/24/20	\$242,801	1.056%	\$242,801	PF/F	May-19	Oct-19	IIIB	WI0036277	L/R
Dale SD #1	5591-01	04/22/20	\$1,092,037	1.540%	\$1,092,037	PF/F	Feb-20	Sep-20	II	WI0030830	L
East Troy, Village of	4278-03	02/12/20	\$1,168,844	1.700%	\$1,168,844	PF/F	Mar-18	Jul-19	IIIB	WI0020397	L
Galesville, City of	4038-07	10/23/19	\$2,753,963	1.650%	\$2,753,963	PF/F	Jun-19	Jul-20	II	WI0021725	L
Glenwood City, City of	5502-01	02/12/20	\$1,804,789	0.990%	\$1,804,789	PF/F	Apr-19	Jun-20	I,II,E	WI0060381	L
Grafton, Village of	4454-17	05/13/20	\$10,008,544	1.760%	\$10,008,544	CD	Apr-20	Jul-21	I	WI0020184	L
Green Bay MSD	4198-53	09/11/19	\$14,630,180	1.760%	\$14,630,180	CD	Dec-18	Jun-19	I	WI0020991	L
Hawkins, Village of	4573-04	02/26/20	\$851,531	0.000%	\$851,531	PF/F	Jul-19	Jun-20	I,L	WI0024201	L/R
Madison MSD	4010-52	09/25/19	\$1,846,530	1.760%	\$1,846,530	CD	Aug-18	Sep-19	IIIB	WI0024597	L
Madison MSD	4010-58	06/10/20	\$10,088,624	1.889%	\$10,088,624	CD	Feb-19	Sep-20	IVB	WI0024597	L
Melrose, Village of	4039-05	05/27/20	\$1,184,755	1.060%	\$1,184,755	PF/F	Apr-20	Dec-20	II,IIIA	WI0024678	L
Milwaukee MSD	3236-01	10/09/19	\$11,032,218	1.760%	\$11,032,218	FE-VI	Apr-19	Oct-20	I	WI0036820	L
Milwaukee MSD	3251-01	11/13/19	\$7,397,308	1.650%	\$7,397,308	FE-VI	Jun-19	Sep-21	I	WI0036820	L
Milwaukee MSD	3253-01	11/13/19	\$6,214,897	1.650%	\$6,214,897	FE-VI	May-19	Mar-22	E	WI0036820	L
Milwaukee MSD	3257-01	04/08/20	\$2,537,819	1.650%	\$2,537,819	FE-VI	Oct-19	Jul-20	I	WI0036820	L

Table 1: Project Information - All Years

Municipality or SFY	Project Number	Binding Com. Date	FAA \$ Amount	Interest Rate	Federally Eligible \$s	Loan Class#	Construction Start	Construction End	Need Category	Facility Permit #	Loan or Refin.
Milwaukee MSD	3260-01	04/08/20	\$3,208,595	1.650%	\$3,208,595	FE-VI	Oct-19	Dec-20	I	WI0036820	L
Milwaukee, City of	4428-26	02/26/20	\$19,611,019	1.650%	\$19,611,019	PF/F	Oct-17	Jun-19	IIIA,IIIB	WI0036820	R
Mishicot, Village of	4015-08	03/11/20	\$390,136	1.650%	\$390,136	PF/F	Aug-19	Nov-19	IIIA,IIIB	WI0021369	R
Omro, City of	5032-04	12/11/19	\$943,799	1.650%	\$943,799	PF/F	Apr-19	Oct-19	IIIA,IIIB	WI0025011	L/R
Oostburg, Village of	4455-05	02/26/20	\$6,373,955	1.650%	\$6,373,955	PF/F	Apr-18	May-19	I	WI0022233	R
Rhineland, City of	4132-12	10/23/19	\$1,264,603	1.060%	\$1,264,603	PF/F	Sep-18	Jun-20	IIIB	WI0020044	L
Roberts, Village of	4541-06	02/26/20	\$5,767,865	1.660%	\$5,767,865	PF/F	Oct-19	Aug-20	I,II	WI0028835	L/R
Rosendale, Village of	4150-03	05/13/20	\$4,604,000	1.760%	\$4,604,000	PF/F	Apr-20	Jul-21	I,II	WI0028428	L
Salem Lakes, Village of	5596-01	07/24/19	\$18,571,073	1.960%	\$18,571,073	CD	Apr-19	May-21	IIIB	WI0031496	L
Sheboygan, City of	4019-19	10/23/19	\$3,073,791	1.650%	\$3,073,791	PF/F	Aug-18	Jun-19	I	WI0025411	L
South Milwaukee, City of	4468-06	08/28/19	\$3,981,200	1.760%	\$3,981,200	PF/F	Jun-18	Apr-19	IIIB	WI0028819	L
Superior, City of	4186-23	02/26/20	\$3,967,370	1.650%	\$3,967,370	PF/F	May-19	Dec-20	I	WI0025593	L
Theresa, Village of	4633-02	10/23/19	\$2,554,850	1.650%	\$2,554,850	PF/F	Jun-19	Jan-20	II	WI0022322	L
Two Rivers, City of	4107-44	11/27/19	\$2,773,887	1.650%	\$2,773,887	PF/F	May-19	May-20	I,IIIB	WI0026590	L
Wausau, City of **	4138-05	06/24/20	\$91,673,286	1.870%	\$91,673,286	PF/F	Jun-20	May-23	I,II,E	WI0025739	L/R
Whitehall, City of	5141-09	12/23/19	\$1,051,053	1.650%	\$1,051,053	PF/F	Feb-19	Dec-19	I	WI0030970	L
SFY 2020			\$268,341,566		\$268,341,566						
Total all years:			\$3,398,638,403		\$3,108,674,213						
** Loan term > 20 yrs.											
# Loan Classification:											
CD = Cash Draw											
FE-VI = Title VI Federal Equivalency											
PF/F = PF + Federal loan funds											
PF/S = PF + State loan funds											

Table 2: Project Information - SFY 2020 Projects

Municipality	Project Number	Regular Principal Forgiveness (PF) Amount	Energy Efficiency Priority PF Amount	Phosphorus Reduction Priority PF Amount	ITA/PPL Year	Priority Score(s)	Green Project Reserve Amount	Project Description
Arlington, Village of	4519-03	\$155,864	\$0	\$0	2020	50.292	\$0	Replace sanitary sewers along Main Street (STH 60).
Ashippun SD #1	5104-04	\$30,521	\$0	\$203,468	2020	62.252	\$0	Construction of new chemical feed & storage facility to meet final TMDL-based phosphorus limits.
Augusta, City of	4545-07	\$632,667	\$0	\$0	2019	50.318	\$0	Replacement of sanitary sewers on Lincoln St in conjunction with storm sewer & water main replacements.
Beloit, Town of	4228-06	\$557,886	\$0	\$0	2019	185.388	\$0	Installation of sanitary sewer & public laterals in a previously unsewered area of the Town of Beloit (208 Service Area).
Bloomer, City of	4317-03	\$627,716	\$0	\$1,000,000	2020	93.355	\$0	Installation of new disc-cloth tertiary effluent filter unit to meet final water quality-based effluent limits for phosphorus.
Brookfield, City of	4275-18	\$0	\$0	\$0	2020	102.458	\$0	Low level phosphorus removal at the Fox River Water Pollution Control Center.
Brooklyn, Village of	4662-06	\$183,844	\$0	\$462,229	2019	79.315	\$0	Installation of selector tanks & a ferric chloride feed system in order to meet final water quality-based effluent limits for phosphorus.
Clintonville, City of	4524-03	\$356,734	\$0	\$0	2020	50.365	\$0	Sanitary sewer rehabilitation & replacement on Robert & 12th Streets.
Consol. Koshkonong SC	4972-03	\$447,716	\$0	\$123,906	2019	57.369	\$0	Upgrade to main lift station; new force main between lift station & lagoons; upgrades to existing lagoons; construction of new building for blowers, electrical equipment & chemical feed; replacement of existing outfall sewer; and other misc. site work.
Crandon, City of	4654-08	\$145,681	\$0	\$0	2020	60.326	\$0	Rehabilitation & replacement of sanitary sewers in conjunction with water main replacements.
Dale SD #1	5591-01	\$343,286	\$0	\$0	2020	77.268	\$0	Installation of a SAGR System & OPTAER Aeration System to meet new effluent limits for ammonia.
East Troy, Village of	4278-03	\$170,067	\$0	\$0	2019	50.364	\$0	Replacement of South Street Lift Station with new wet well, building, generator, and piping; abandoning the old lift station; & repaving driveway.
Galesville, City of	4038-07	\$338,095	\$0	\$500,000	2019	63.318	\$0	WWTP improvements to optimize phosphorus reduction & overall treatment performance including new final clarifier, new chemical feed building & new sludge dewatering reed bed system.
Glenwood City, City of	5502-01	\$641,400	\$0	\$379,457	2019	73.309	\$10,673	Replacement of aeration systems for Aeration Pond #1 & #2 and numerous other WWTP improvements, some of which will allow the facility to meet final water quality-based effluent limits for phosphorus.
Grafton, Village of	4454-17	\$0	\$0	\$0	2020	90.407	\$0	New headworks building that will house new screening, grit removal & influent pumping equipment; minor modifications to chemical feed system.
Green Bay MSD	4198-53	\$0	\$0	\$0	2019	85.535	\$0	Upgrade to primary electrical switchgear at the De Pere WWTF, including a new building to house backup generators & associated equipment.

Table 2: Project Information - SFY 2020 Projects

Municipality	Project Number	Regular Principal Forgiveness (PF) Amount	Energy Efficiency Priority PF Amount	Phosphorus Reduction Priority PF Amount	ITA/PPL Year	Priority Score(s)	Green Project Reserve Amount	Project Description
Hawkins, Village of	4573-04	\$510,919	\$0	\$0	2019	65.248/65.248	\$323,000	Misc improvements at WWTP and; meeting new, lower phosphorus limits by purchasing a working dairy farm, taking it out of production & planting permanent vegetative cover in the farm fields in order to reduce phosphorus loading to the South Fork of Main Creek & generate excess credits to sell.
Madison MSD	4010-52	\$0	\$0	\$0	2019	50.558/50.558	\$0	Rehabilitation of 2,000 ft of the pump station #10 force main and rehabilitation of 3,561 ft of the West Interceptor, including rehabilitation of public laterals & manholes.
Madison MSD	4010-58	\$0	\$0	\$0	2020	50.558/50.558	\$0	Installation of new Northeast Interceptor Truax Relief Sewer & replacement of Southwest Interceptor under Haywood Drive.
Melrose, Village of	4039-05	\$653,434	\$0	\$95,699	2020	63.269	\$0	Replacement of influent manhole & generator at lift station; improvements at WWTP including sludge removal, replacement of aeration system, addition of a chemical feed building, & other misc improvements.
Milwaukee MSD	3236-01	\$0	\$0	\$0	2019	50.605	\$0	Work on abandoned corrosion monitoring components of Interplant Solids Pipeline in preparation for a new cathodic protection system.
Milwaukee MSD	3251-01	\$0	\$0	\$0	2020	50.605	\$0	Replacements & improvements to valves & piping within the Interplant Sludge System, Phase 1.
Milwaukee MSD	3253-01	\$0	\$0	\$0	2020	65.605	\$6,214,897	Upgrades to Milorganite Dryer Burners at Jones Island to convert the burners to burning landfill gas in addition to natural gas.
Milwaukee MSD	3257-01	\$0	\$0	\$0	2020	69.605	\$0	Phase 3 of South Shore roof rehabilitation project - assessing & replacing the roofs of four buildings.
Milwaukee MSD	3260-01	\$0	\$0	\$0	2020	65.605	\$0	Restoration of ten building roofs at Jones Island.
Milwaukee, City of	4428-26	\$750,000	\$0	\$0	2019	50.577	\$0	2018 sanitary & combined sewer replacements & rehabilitation.
Mishicot, Village of	4015-08	\$117,041	\$0	\$0	2020	50.316	\$0	Sanitary sewer replacements on East Church Street between STH 147 & CTH B in conjunction with street & utility work.
Omro, City of	5032-04	\$141,570	\$0	\$0	2020	50.355/50.355	\$0	Replacement of sanitary sewers in conjunction with storm sewers, water mains & street work.
Oostburg, Village of	4455-05	\$750,000	\$10,967	\$0	2019	64.347	\$138,245	WWTP upgrade including: upgrades to grit pump; additional final clarifier; additional carrousel-style oxidation ditch; & addition to the sludge pumping building.
Rhinelander, City of	4132-12	\$750,000	\$0	\$0	2019	55.389/50.389	\$0	Replacement of aging sanitary sewers at various locations & elimination of Johnson Street lift station.
Roberts, Village of	4541-06	\$712,585	\$0	\$1,000,000	2019	88.322	\$0	Installation of CLEARAS phosphorus reduction system & related work in order to meet final water quality-based effluent limits for phosphorus.

Table 2: Project Information - SFY 2020 Projects

Municipality	Project Number	Regular Principal Forgiveness (PF) Amount	Energy Efficiency Priority PF Amount	Phosphorus Reduction Priority PF Amount	ITA/PPL Year	Priority Score(s)	Green Project Reserve Amount	Project Description
Rosendale, Village of	4150-03	\$750,000	\$0	\$245,850	2020	81.301	\$978,924	WWTP upgrades including construction of: extended aeration treatment process; new headworks; chemical/biological treatment; digestion; & associated structures, buildings, piping, & electrical components.
Salem Lakes, Village of	5596-01	\$0	\$0	\$0	2019	115.408	\$0	Regionalization improvements including: construction of lift station & force main from old Silver lake WWTP to Salem WWTP; & upgrading the sewer system to accommodate increased load & flows.
Sheboygan, City of	4019-19	\$750,000	\$0	\$0	2019	67.468	\$0	Replacement of aging switch gear at WWTP.
South Milwaukee, City of	4468-06	\$597,180	\$0	\$0	2019	50.432	\$0	Construction of new lift station building at intersection of N. Chicago Ave & Rawson Ave including new submersible pumps & reinstallation of the existing generator. Also includes demolition of existing lift station.
Superior, City of	4186-23	\$750,000	\$0	\$0	2019	77.443	\$1,910,660	Improvements to activated sludge secondary treatment process & electrical power distribution system at main WWTP.
Theresa, Village of	4633-02	\$233,228	\$0	\$1,000,000	2019	87.310	\$0	New clarifier & updated chemical feed system in order to meet final water quality-based effluent limits for phosphorus.
Two Rivers, City of	4107-44	\$750,000	\$0	\$0	2019	76.406/70.406/ 50.406	\$0	Replacement of anaerobic digester cover, two digester mixers, RAS flow meter, & VFDs; installation of radios & SCADA upgrades to connect 18 lift stations to SCADA system; replacement of sanitary sewers & public laterals.
Wausau, City of	4138-05	\$750,000	\$0	\$1,000,000	2020	78.459	\$14,823,000	Modifications & improvements to WWTP to address undersized disinfection & biosolids process trains, remediate safety & efficiency issues, & address new effluent limits for phosphorus.
Whitehall, City of	5141-09	\$315,316	\$0	\$0	2019	50.320	\$0	Demolition & replacement of Ellis Street Lift Station including all necessary components; also includes new driveway, soil fill for flood resistance, & culvert for storm water management.
PF Totals:		\$13,912,750	\$10,967	\$6,010,609		Total GPR:	\$24,399,399	

Table 3: Status of SRF Disbursements as of 6/30/20

	SFY 2020 Disbs.	Total Disbs.
Federal Capitalization Grants - Project Costs - Loans	\$40,607,975	\$1,052,466,981
Federal Capitalization Grants - Project Costs - Principal Forgiveness	\$0	\$28,195,456
Federal Capitalization Grants - Administration	\$2,311,501	\$47,689,845
ARRA Grant - Project Costs - Principal Forgiveness	\$0	\$103,967,370
ARRA Grant - Administration	\$0	\$1,980,930
Repayments & Interest Earnings - Project Costs - Loans	\$31,508,196	\$1,139,418,429
Repayments & Int. Earnings - Project Costs - Principal Forgiveness	\$13,394,385	\$40,185,087
Leveraged Bonds - Project Costs - Loans	\$59,490,064	\$194,357,800
State Match - Project Costs - Loans	\$8,591,000	\$225,602,131
Total SRF Draws	\$155,903,121	\$2,833,864,029

Table 4: Balance of Federal Capitalization Grant Funds as of 6/30/20

Capitalization Grant Year	Total Amount	Project Cost Draws	Admin. Cost Draws	Remaining Balance
FFY 1989	\$25,499,529	\$24,479,548	\$1,019,981	\$0
FFY 1990	\$26,456,358	\$25,398,104	\$1,058,254	\$0
FFY 1991	\$55,664,527	\$53,437,946	\$2,226,581	\$0
FFY 1992	\$52,528,113	\$50,426,989	\$2,101,124	\$0
FFY 1993	\$51,962,031	\$49,883,550	\$2,078,481	\$0
FFY 1994	\$32,241,726	\$30,952,057	\$1,289,669	\$0
FFY 1995	\$33,298,848	\$31,966,894	\$1,331,954	\$0
FFY 1996	\$54,544,446	\$52,362,668	\$2,181,778	\$0
FFY 1997	\$16,849,008	\$16,175,048	\$673,960	\$0
FFY 1998	\$36,403,983	\$34,947,824	\$1,456,159	\$0
FFY 1999	\$39,981,810	\$38,382,538	\$1,599,272	\$0
FFY 2000	\$36,283,599	\$34,832,255	\$1,451,344	\$0
FFY 2001	\$35,960,958	\$34,522,520	\$1,438,438	\$0
FFY 2002	\$36,123,448	\$34,681,802	\$1,441,646	\$0
Rural Hardship	\$1,355,800	\$1,355,800	\$0	\$0
FFY 2003	\$35,806,815	\$34,374,542	\$1,432,273	\$0
FFY 2004	\$35,828,496	\$34,395,356	\$1,433,140	\$0
FFY 2005	\$29,131,938	\$27,966,660	\$1,165,278	\$0
FFY 2006	\$23,673,870	\$22,726,915	\$946,955	\$0
FFY 2007	\$28,934,829	\$27,777,436	\$1,157,393	\$0
FFY 2008	\$18,396,576	\$17,660,713	\$735,863	\$0
FFY 2009	\$18,396,477	\$17,660,618	\$735,859	\$0
ARRA 2009	\$105,948,300	\$103,967,370	\$1,980,930	\$0
FFY 2010	\$55,083,000	\$52,879,680	\$2,203,320	\$0
FFY 2011	\$39,921,000	\$38,324,160	\$1,596,840	\$0
FFY 2012	\$38,208,000	\$36,679,680	\$1,528,320	\$0
FFY 2013	\$36,093,000	\$35,093,000	\$1,000,000	\$0
FFY 2014	\$37,905,000	\$36,905,000	\$1,000,000	\$0
FFY 2015	\$37,711,000	\$35,663,699	\$2,047,301	\$0
FFY 2016	\$36,121,000	\$34,049,670	\$2,071,330	\$0
FFY 2017	\$35,843,000	\$33,044,948	\$2,798,052	\$0
FFY 2018	\$43,392,000	\$41,046,842	\$2,345,158	\$0
FFY 2019	\$42,955,000	\$40,607,975	\$1,578,770	\$768,255
Totals:	\$1,234,503,485	\$1,184,629,807	\$49,105,423	\$768,255

Table 5

Clean Water SRF Reporting Additional Subsidy or GPR for Wisconsin - 2017 Cap Grant

Report Generated: 9/22/2020 -- Data Last Modified: 9/22/2020 1:16:00 PM

Tracking Number	Recipient	1st Agreement Date in Selected	Assistance Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Project Population Served	GPR Amount
4001-14	Stevens Point, City of	9/12/2018	19,827,115	0	0	0	26,895	13,911,379
4005-05	Sparta, City of	12/26/2018	877,271	0	0	131,591	9,881	0
4012-09	Mayville, City of	6/13/2018	602,880	0	0	7,907	5,092	550,172
4019-19	Sheboygan, City of	10/23/2019	3,073,791	0	0	750,000	0	0
4036-04	New Lisbon, City of	12/27/2017	7,855,962	0	0	0	0	1,552,615
4038-07	Galesville, City of	10/23/2019	2,753,963	0	0	838,095	1,537	0
4052-07	Viroqua, City of	11/22/2017	3,053,393	0	0	0	4,361	250,000
4062-06	Waukesha, City of	10/24/2018	20,205,408	0	0	0	0	20,205,408
4099-06	Curtiss, Village of	12/27/2017	1,407,935	0	0	0	212	255,812
4107-44	Two Rivers, City of	11/27/2019	2,773,887	0	0	750,000	0	0
4132-12	Rhineland, City of	10/23/2019	1,264,603	0	0	750,000	0	0
4139-10	Reedsburg, City of	4/11/2018	2,169,666	0	0	0	9,285	279,500
4153-04	Baraboo, City of	4/11/2018	3,006,179	0	0	0	12,013	3,006,179
4212-04	Necedah, City of	12/26/2018	257,865	0	0	116,040	0	0
4224-02	Spencer, Village of	3/28/2018	2,977,250	0	0	0	1,936	2,367,000
4228-06	Beloit, Town of	1/22/2020	3,719,239	0	0	557,886	7,592	0
4277-04	Dousman, Village of	4/10/2019	3,179,170	0	0	476,876	2,320	0
4278-03	East Troy, Village of	2/12/2020	1,168,844	0	0	170,067	4,485	0
4301-03	Mellen, City of	2/14/2018	2,341,978	0	0	0	731	255,125
4329-10	Park Falls, City of	12/27/2017	1,127,940	0	0	0	2,514	1,127,940
4375-10	Mount Horeb, Village of	12/13/2017	16,750,660	0	0	0	7,142	72,400
4422-05	Montfort, Village of	5/22/2019	1,190,659	0	0	357,198	725	0
4428-25	Milwaukee, City of	2/27/2019	18,090,040	0	0	700,000	594,666	0
4428-26	Milwaukee, City of	2/26/2020	19,611,019	0	0	574,950	0	0
4434-03	De Soto, Village of	7/25/2018	354,030	0	0	24,741	289	0
4444-04	Saint Croix Falls, City of	12/27/2017	1,313,145	0	0	0	2,111	1,164,089
4468-06	South Milwaukee, City of	8/28/2019	3,981,200	0	0	597,180	20,910	0
4524-03	Clintonville, City of	1/22/2020	792,742	0	0	356,734	4,477	0
4545-07	Augusta, City of	12/23/2019	1,405,925	0	0	632,667	1,516	0
4573-04	Hawkins, Village of	2/26/2020	851,531	0	0	510,919	313	0
4574-09	Ladysmith, City of	6/26/2019	793,782	0	0	357,202	3,349	0

Tracking Number	Recipient	1st Agreement Date in Selected	Assistance Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Project Population Served	GPR Amount
4633-02	Theresa, Village of	10/23/2019	2,554,850	0	0	1,233,228	0	0
4651-04	Rock Springs, Village of	5/22/2019	386,889	0	0	116,067	0	0
4662-06	Brooklyn, Village of	7/24/2019	1,738,265	0	0	646,073	1,421	0
4679-10	Manitowoc, City of	12/13/2017	10,494,459	0	0	0	0	250,000
4705-02	Johnson Creek, Village of	5/9/2018	2,821,245	0	0	0	2,972	2,814,935
4739-06	Evansville, City of	6/27/2018	3,994,925	0	0	0	5,190	737,384
4972-03	Consolidated Koshkonong SC	8/28/2019	9,007,155	0	0	571,622	0	0
5032-04	Omro, City of	12/11/2019	943,799	0	0	141,570	0	0
5100-04	Markesan, City of	12/26/2018	2,471,899	0	0	1,027,708	0	0
5139-04	Owen, City of	11/8/2017	1,201,351	0	0	0	925	86,940
5141-09	Whitehall, City of	12/23/2019	1,051,053	0	0	315,316	1,577	0
5291-01	Orfordville, Village of	11/28/2018	1,270,350	0	0	604,706	1,450	0
5357-02	Blair, City of	11/8/2017	880,970	0	0	0	1,378	30,000
5502-01	Glenwood City, City of	2/12/2020	1,804,789	0	0	1,020,857	0	0
Total for all 45 Agreements			189,401,071	0	0	14,337,200	739,265	48,916,878

Table 6

Clean Water SRF Reporting Additional Subsidy or GPR for Wisconsin - 2018 Cap Grant

Report Generated: 9/22/2020 -- Data Last Modified: 9/22/2020 2:44:00 PM

Tracking Number	Recipient	1st Agreement Date in Selected	Assistance Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Project Population Served	GPR Amount
4654-08	Crandon, City of	6/24/2020	242,801	0	0	145,681	0	0
3243-01	Milwaukee MSD	7/11/2018	4,381,764	0	0	0	1,122,193	4,381,764
4012-09	Mayville, City of	6/13/2018	602,880	0	0	0	5,092	52,708
4015-08	Mishicot, Village of	3/11/2020	390,136	0	0	117,041	27,176	0
4039-05	Melrose, Village of	5/27/2020	1,184,755	0	0	749,133	490	0
4138-05	Wausau, City of	6/24/2020	91,673,286	0	0	1,750,000	39,090	0
4150-03	Rosendale, Village of	5/13/2020	4,604,000	0	0	995,850	0	0
4186-23	Superior, City of	2/26/2020	3,967,370	0	0	750,000	0	0
4277-04	Dousman, Village of	4/10/2019	3,179,170	0	0	0	2,320	50,000
4317-03	Bloomer, City of	4/22/2020	3,092,386	0	0	1,627,716	3,563	0
4428-26	Milwaukee, City of	2/26/2020	19,611,019	0	0	175,050	0	0
4455-05	Oostburg, Village of	2/26/2020	6,373,955	0	0	760,967	2,957	0
4487-05	Freedom Sanitary District No. 1	6/26/2019	4,572,325	0	0	0	3,053	17,744
4519-03	Arlington, Village of	4/22/2020	1,039,091	0	0	155,864	833	0
4541-06	Roberts, Village of	2/26/2020	5,767,865	0	0	1,712,585	1,676	0
5100-04	Markesan, City of	12/26/2018	2,471,899	0	0	0	0	71,000
5104-04	Ashippun Sanitary District	4/22/2020	406,935	0	0	233,989	0	0
5291-01	Orfordville, Village of	11/28/2018	1,270,350	0	0	0	1,450	750,000
5591-01	Dale Sanitary District #1	4/22/2020	1,092,037	0	0	343,286	478	0
Total for all 19 Agreements			155,924,024	0	0	9,517,162	1,210,371	5,323,216

Table 7

Clean Water SRF Reporting

Additional Subsidy or GPR for Wisconsin - 2019 Cap Grant

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Tracking Number	Recipient	1st Agreement Date in Selected	Assistance Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Project Population Served	GPR Amount
3253-01	Milwaukee MSD	11/13/2019	6,214,897	0	0	0	1,121,904	6,214,897
4138-05	Wausau, City of	6/24/2020	91,673,286	0	0	0	39,090	14,823,000
4150-03	Rosendale, Village of	5/13/2020	4,604,000	0	0	0	0	978,924
4186-23	Superior, City of	2/26/2020	3,967,370	0	0	0	0	1,910,660
4455-05	Oostburg, Village of	2/26/2020	6,373,955	0	0	0	2,957	138,245
5502-01	Glenwood City, City of	2/12/2020	1,804,789	0	0	0	0	10,673
Total for all 6 Agreements			114,638,297	0	0	0	1,163,951	24,076,399