

July 1, 2020 – June 30, 2021

INTENDED USE PLAN (REVISED)

For the

WEST VIRGINIA DRINKING WATER

STATE REVOLVING FUND

State of West Virginia

Department of Health and Human Resources

Bureau for Public Health

Office of Environmental Health Services

Environmental Engineering Division

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I. INTRODUCTION

The Intended Use Plan has been updated to revise the Project Priority List. There were five new projects added to the list that have expressed an interest in using DWTRF funding. The projects added were Jumping Branch-Nimitz PSD's Madams Creek Waterline Extension project, City of Wellsburg's Water System Improvements Project, Parkersburg Utility Board's Line Upgrade and Replacement Project, Town of Pineville's Water System Improvement Project and the Town of Oceana's Water System Upgrade Phase II Project. The public comment process has also been updated for this revised IUP.

The Safe Drinking Water Act (SDWA) amendments of 1996 authorized a Drinking Water State Revolving Fund (DWSRF) program to assist public water systems in financing the cost of the infrastructure needed to achieve or maintain compliance with SDWA requirements and protect public health. This Intended Use Plan (IUP) details the intended use for the State Fiscal Year 2021 (FFY 2020) Capitalization Grant funds totaling \$11,011,000 and will require a 20% state match of \$2,202,200. The available State Program Cash Match is \$647,500. The West Virginia Infrastructure and Jobs Development Council (Infrastructure Council) committed the funds for the required 20% match at its April 2020 meeting.

From the FFY 2020 Capitalization Grant, \$8,054,240 (along with the state match, projected earned interest, loan repayments and In-Kind reimbursements) shall be used to construct projects. These funds are the Drinking Water Treatment Revolving Fund (DWTRF). The 2% Technical Assistance is used to contract a Continuing Education Training program for water operator training and certification. The 4% Administration funding is used to manage the DWTRF program. The 10% Program Management funding is used for enhancing the Public Water Supply Supervision Program (PWSS). The 15% Local Assistance funding is used in implementing the required state Source Water Protection Program, assessing, and assisting in the technical, managerial and financial capacity of small systems. West Virginia plans to apply for any additional stimulus funding that may be appropriated by Congress later in the year. Projects will be added to the IUP from the PPL in priority order, subject to readiness to proceed.

II. PROJECTS

A. Project Funding Requirements

Approval from the Infrastructure Council is required for any project to receive DWTRF assistance. The Infrastructure Council, with recommendations from its Water Technical Review Committee and the Funding Committee, must approve all projects. Both of the following requirements must be considered for funding of projects:

- 1) At least 15% of the funds in the DWTRF must go to systems serving less than 10,000 total persons. Federal code allows crediting prior year's excess above the 15% for the annual requirement. Ninety three percent (93%) of this year's planned funding will go to systems with less than 10,000 population.

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- 2) West Virginia legislation authorizes the DWTRF requirement for disadvantaged communities. *“The division of health shall, in accordance with the provisions of the safe drinking water act, establish a program for loan subsidies to disadvantaged communities. Thirty percent of the federal capitalization grants made to this state shall be dedicated to the funding of projects for disadvantaged communities”* (Authority §16-13C-3). This requirement is not a federal requirement. The AWIA allows up to 35% loan subsidy for disadvantaged communities. At least 30% of the cumulative federal funds will be used for disadvantaged communities. The 30% requirement will be calculated on a cumulative basis of awarded grants. All planned funds will go to disadvantaged systems.

B. The Project Priority List (PPL) Process

The PPL process uses an application that the water system must complete to be considered for DWTRF funding. This application process has improved the DWTRF program by listing only those systems that are truly interested in using low interest loan funds. This eliminates the need to bypass many projects that are not interested in using the DWTRF low interest loan money.

Each water system submits a pre-application through the Infrastructure Council, also:

- 1) Has its project scored using DWTRF criteria;
- 2) May be contacted concerning its interest in DWTRF funding; and
- 3) If the water system is interested in DWTRF, they submit a form to be included on the next priority list that is put out for public comment.

Water systems that are the closest to going to bid, (e.g., the project design is complete and a Public Service Commission application, where applicable, has been submitted, etc.) are given top priority when issuing binding commitment letters, thus, some projects which have not received other funding or have not been designed will be bypassed in accordance with the IUP.

In order to fund more projects with DWTRF funds, the PPL may be issued for public comment several times a year so that projects that have been approved by the Infrastructure Council and have been scored and ranked can be given binding letters of commitment sooner. The PPL will include a summary of the health benefits for the project.

Water systems that have been on the prior PPL, but have not received a funding commitment will be added to the new PPL unless we have information that would indicate they no longer wish to obtain DWTRF funding.

Projects requesting DWTRF funding assistance are prioritized using the DWTRF Project Priority Ranking System (Attachment 1). Three categories (public health, regulatory

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compliance, and affordability) are used to determine project scoring. Projects that apply for DWTRF funding are ranked on a PPL and are listed in the Project Priority List/Comprehensive List (Attachment 2). The PPL includes the name of the public water system, description of the project, priority assigned, expected financial terms, size of community served, and whether or not the system is disadvantaged. The highest ranked projects on the PPL are contacted concerning their project status to determine if funding from the DWTRF is appropriate and the project is ready to proceed. The PPL ranking system allows for higher public health ranking for utilities that have multiple violations as reflected in the Enforcement Tracking Tool (ETT). The ranking system allows coordination of projects funded with consideration of the enforcement agency. It is the ultimate responsibility of the water system to inform the DWTRF staff of changes to its readiness to proceed status.

Projects expecting to receive assistance from the FFY 2020 Capitalization Grant Funds are on the Funding List Detailed Description (Attachment 3). Projects that rank lower on the PPL may still receive funding should one or more of the higher ranked projects be bypassed using the bypass procedure described below. Projects on the most recent PPL are also eligible to receive funding from previously awarded capitalization grants or repayments.

All projects on the PPL will be designated as equivalency projects unless specifically stated on the PPL as not being an equivalency project. Non-equivalence projects will only be done on an as needed basis.

C. Bypass Procedure

Prior to implementing the bypass procedure, water system projects listed on the PPL will be reviewed to determine their project status as compared to the ready to proceed project awaiting a funding commitment. Based on the review, DWTRF staff will determine whether to bypass the project and select another project for funding commitment consideration. The following provisions will be used to bypass a project on the PPL:

- 1) Even though the project is for a system with significant non-compliance of the SDWA, it is determined the proposed project will not ensure compliance.
- 2) The project is for a system that would not have operators properly certified to operate and maintain the system by the time the project is to receive funding.
- 3) The project changes significantly in scope and requires re-evaluation of the proposal using the DWTRF ranking system.
- 4) The project is unable to proceed in a timely manner.
- 5) Other project funding is not committed. Should the bypassed project be within the fundable range, it may be funded at a later date.

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- 6) The project costs significantly exceed the anticipated loan amounts. The project scope could be reduced to within the available DWTRF loan amount provided the ranking does not change.
- 7) The system declines the assistance.
- 8) The project is not determined as technically and financially feasible by the Infrastructure Council.
- 9) The project is unable to meet the schedule developed and agreed upon by the project sponsor and the DWTRF staff.
- 10) A lower ranked project attains a higher rating due to revised information, such as an environmental or public health emergency.
- 11) The water system is not considered as having the managerial, financial, and technical capacity, even after project completion, based upon a Capacity Development Assessment by the Office of Environmental Health Services (OEHS) staff.
- 12) If one or more Green Infrastructure Projects are required to be funded from the Capitalization Grant further down the list in grants that require Green Infrastructure Projects to be funded. For this specific IUP, this is not a grant requirement. OEHS does not have any requirements for Green Infrastructure Projects.

When OEHS bypasses a project, the project will remain on the PPL for consideration at a future time. If the project no longer needs or wants DWTRF funding, it is removed from future PPLs. OEHS will provide technical assistance (as needed) with bypassed projects to assist them in being eligible for the future funding. DWTRF will provide low interest design loans to increase the project pace, where needed.

In cases where a project is bypassed, the next project on the list (not being bypassed) will be funded within the funds available through the criteria outlined in this section. If a funded project comes in under cost, the remaining funds may be used to fund additional project work that can be associated with the project scope; to fund the next project on the PPL (if the cost does not exceed the available funds); or to fund other water system project needs as deemed appropriate by OEHS.

D. Emergency Projects

Projects to remediate an imminent significant hazard to a community's public health may be considered an "Emergency" project if approved by OEHS. An emergency project may not be required to be on the approved PPL if the evaluation by OEHS verifies the urgency of the project execution to avoid a major impact to the water system's customers. Emergency projects will be able to be assigned to any capitalization grant for available funding. All emergency projects must be reviewed and approved by the Infrastructure Council.

E. Loan Terms and Fees

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Eligible public water systems may use the DWTRF assistance to fund the cost of infrastructure needed to achieve or maintain compliance with the SDWA and other drinking water regulations. OEHS proposes to use \$10,256,440 (\$8,054,240 of federal funds and \$2,202,200 state matching funds) to provide loans to public water systems for infrastructure improvements. The DWTRF program interest rates will be below AAA Municipal Yield Market rates.

Interest Rate

- a) The affordability standard for the annual water user rate is set at 1.25% of Median Household Income (MHI) for 3,400 gallon monthly usage as defined by the Infrastructure Council rules unless the project sponsor can clearly show that a magisterial district census income reflects the affordability more appropriately. In this case, the magisterial district census data may be used in place of the county census data. Projects previously reviewed by the Infrastructure Council will be ‘grandfathered’ for up to three years from the date of the change by the Infrastructure Council. The DWTRF program will typically use the same data and criteria for median household income and typical residential usage rates as the Infrastructure Council to achieve consistency in affordability and financial evaluations by State funding agencies. If the utility can provide a reasonable justification for use of magisterial district median household incomes, the DWTRF program can use them instead of the county or municipal census data. The threshold of 1.25% may be lower than the rate the Infrastructure Council uses for its determination of disadvantaged rates. Water rates equal to or greater than this affordability standard will be considered disadvantaged. Water rates below this affordability standard will be considered non-disadvantaged.

Principal forgiveness funding assistance will typically be provided in combination with a DWTRF repayment loan for disadvantaged public water systems. Principal forgiveness alone may be provided to water systems for a design loan or for construction if special circumstances occur for the project. The disadvantaged loan interest rates will be 1.0% for systems with rates between 1.25% and 1.99% of MHI and 0.5% for systems with rates at or above 2% of MHI. The non-disadvantaged water systems will be eligible for 2.75% loans.

- b) If DWTRF funding replaces another agency’s grant or loan funds on a previously approved Infrastructure Council project, additional subsidization can be provided to maintain the approved level of affordability.

Loan Terms

Terms are given up to 20 years for non-disadvantaged loans and up to 30 years for disadvantaged community water systems loans. A recent change in the federal Water Infrastructure Finance and Innovation Act (WIFIA) now allows the DWTRF program to extend its loan terms by an additional ten years provided the project equipment has a life span at least as long as the loan term but not to exceed 40 years.

Administrative Fees

The OEHS administrative fee for projects is 0.25% of the loan amount to be repaid and the fee is paid evenly over the life of the loan. This administrative fee may be adjusted to make the project affordable. Administrative fees for principal forgiveness loans will be waived.

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Design Loans

The DWTRF provides design loans to eligible public water systems for projects on the PPL. The purpose of design loans is to make the projects more attractive for outside additional funding and to decrease the time between project design and construction. The loans will be offered at the same terms as those determined for the full project infrastructure improvements as approved at Infrastructure Council or principal forgiveness, if eligible and necessary. Design loan payback will begin within nine months following the design's scheduled completion.

Graduated Loan Repayment

The DWTRF loan program can provide graduated loan repayment schedules to make projects more affordable to water systems. In this case, the water system will start repayments following construction completion, but the initial payments will be reduced until one or more existing loans are paid in full. Then, the water system will make accelerated DWTRF payments within the loan period. This will allow a level debt service payment for water systems to make infrastructure projects more affordable. The repayment of loans will still be completed in the same time period of the project's eligibility.

F. Project Selection and Loan Activities

The PPL was developed from the projects on prior PPLs that did not receive funding commitments and new applications. Project representatives of prior listed projects were contacted to determine if they still desired DWTRF funding. Those projects which indicated they still desired to be considered for funding were kept on the list and any other project recently submitting an application to OEHS or applying for DWTRF funding through the Infrastructure Council was added to the list.

The PPL will be finalized after the public comment period. The Funding List Detailed Description (Attachment 3) describes the projects most likely to be funded from this Capitalization Grant.

G. Additional Subsidization

The Capitalization Grant requires that at least 20% (at least 6% must be used for disadvantaged communities per WIFIA) of assistance provided up to a maximum of 35% of the grant is in the form of additional subsidies (principal forgiveness) may be used for disadvantaged communities. OEHS has the authority to offer principal forgiveness loans in an amount up to 100% of a loan made by the State's DWSRF program. The intent of this program is to provide principal forgiveness to those water systems that have significantly higher user rates versus those that may barely meet the disadvantaged criteria. The Funding List Detailed Description includes six projects that are eligible to receive \$2,558,475 in subsidization for disadvantaged water systems. The 20% amount of the capitalization grant funds is \$2,202,200 so OEHS has a plan to meet the requirement for additional subsidization.

H. Green Infrastructure (Green Project Reserve – GPR)

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The Capitalization Grant does not require any of the funds provided for projects to be used for water efficiency, energy efficiency, green infrastructure, or other environmentally innovative activities. OEHS does not have any requirements for green project reserve.

I. Davis Bacon Wage Rates (DB)

The Capitalization Grant requires all loan recipients to pay applicable DB wages for all construction contracts. All loan recipients must also follow all federal Department of Labor DB Wage Rate requirements.

J. Asset Management Implementation Effect on Loan Rates

The DWTRF program has required loan recipients to implement a BPH approved asset management plan (AMP) since 2009 to help ensure sustainability of their water systems by properly managing their assets. As many of these plans have been developed and approved by BPH, we are now assessing the required maintenance and use of the approved plan in subsequent loan applications. For those systems that have maintained and updated their AMP they will be eligible for a reduction of their eligible loan rate by 0.5% on their next project funding. Conversely, those systems who had an approved AMP, but have not maintained the AMP, will be assessed an additional 0.5% above their eligible loan rate on their next project funding. Loan recipients who have not maintained their AMP will be required to update their AMP as part of the funding process for a new loan.

K. American Iron and Steel

All projects funded by this IUP will follow all of the American Iron and Steel requirements as prescribed by EPA issued documents.

L. Disadvantaged Business Enterprise (DBE)

All projects funded by this IUP will follow all of the Disadvantaged Business Enterprise requirements as prescribed by EPA issued documents.

III. FINANCIAL STATUS

The DWTRF will be managed by OEHS with assistance, through a contract agreement, from the West Virginia Water Development Authority (WDA). The WDA maintains the financial records and insures bond conditions and audit requirements are met. OEHS manages the DWSRF set-aside funds. The DWTRF program financial status is healthy with a principal and interest repayment stream from loans at \$8,000,000 per year. The Administrative fee from loans has an annual income stream of \$800,000 which would sustain the administrative staff in perpetuity.

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A. Total Amount of Funds in the DWSRF (Construction & Set-Asides)

The amounts available for the DWSRF program are as shown in Tables 1, 2, and 3. Table 4 summarizes all incoming funds through June 2020 and shows the projected disbursed and obligated amounts through 2021.

**TABLE 1. FUNDS AWARDED PRIOR TO STATE FISCAL YEAR 2019
(Construction & Set-Asides)**

U.S. Environmental Protection Agency (Total from 1998 through and including 2019)*	\$224,367,782
WV State Match – Infrastructure Council (Total from 1998 through and including 2019)	\$40,959,957
WVDHHR: 10% Set-Aside State Match (Total from 1998 through and including 2019)	\$14,771,600
SUB-TOTAL	\$280,099,339
Loan Repayments (Principal only 2858) as of 12/31/19	\$73,838,669
Admin. Fee plus Fee Investment Interest as of 12/31/19	\$7,719,770
Interest Earnings (WVSTO Reinvestment Income 2858 & 2856) WV DWTRF as of 12/31/19	\$4,997,551
TOTAL	\$366,655,329
* Grant amounts include the in-kind services for the following grants:	
FS-99390003 - \$10,000 for Northbridge Environmental Program Support (4%)	
FS-99390006 - \$134,176 for AWOP/PBT Contractor Support (10%)	
2F-99390009 - \$250,000 for TetraTech Support of ARRA Projects (4%)	
FS-99390010-1 - \$228,679 for TetraTech Support of Projects (4%)	
FS-99390010-1 - \$200,000 for Compliance/Enforcement Contractor Support (10%)	
FS-99390011 - \$224,458 for project administration & Compliance Support (4%)	
FS-99390011 - \$25,542 for Compliance & Enforcement support (10%)	
FS-99390011 - \$100,000 for AWOP/PBT support (15%)	
FS-99390012 - \$125,000 for Project Administration Contractor Support (4%)	
FS-99390012 - \$20,000 for AWOP/PBT Support (15%)	
FS-99390013 - \$175,000 for project administration supports (4%)	
FS-99390014 - \$400,000 for Source Water Protection (15%)	
FS-99390014 - \$200,000 for Capacity and Development (10%)	
FS-99390015 - \$300,000 for Source Water Protection (15%)	
FS-99390015 - \$191,300 for Capacity and Development (15%)	
FS-99390017 - \$74,924 for Source Water Protection (15%)	
FS-99390018 - \$0.00	
FS-99390019 - \$0.00	

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**TABLE 2. FUNDS AWARDED DURING STATE FISCAL YEAR 2020
(Construction & Set-Asides)**

U.S. Environmental Protection Agency (FS-99390019) (Grant)	\$11,004,000
WV State Match - Infrastructure Council (FS-993900019)	\$2,200,800
WVDHHR: 10% Set-Aside State Match (FS-993900019) (Approp)	\$647,500
TOTAL	\$13,852,300

**TABLE 3. FUNDS REQUESTED DURING STATE FISCAL YEAR 2021
(Construction & Set-Asides)**

U.S. Environmental Protection Agency (FS-99390020) (Grant)	\$11,011,000
WV State Match - Infrastructure Council (FS-993900020)	\$2,202,200
WVDHHR: 10% Set-Aside State Match (FS-993900020) (Approp)	\$647,500
TOTAL	\$13,860,700

**TABLE 4. SET-ASIDE FUNDS DISBURSED OR PROJECTED THROUGH STATE
FISCAL YEAR 2019 & CONSTRUCTION LOANS & COMMITMENTS THROUGH JUNE
2019 (Construction & Set-Asides)**

(Table 4 “Cumulative Grant Funds Received Thru 6/30/2019” includes the in-kind services for the capitalization grants)

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	Cumulative Grant Funds Received Thru 6/30/2019	Additional Funds From FS-99390020*	Minus In-Kind Services	Total Incoming Funds	Cumulative Funds Disbursed as of 12/31/19	Projected Disbursements From Jan '20 Thru June '21	Funds Remaining 6/30/2021
Set-Aside Funds							
Administration (4%)	\$7,207,656	\$440,440	\$1,013,137	\$6,634,959	\$6,217,708	\$250,000	\$167,251
Small Systems Technical Assistance (2%)	\$3,817,631	\$220,220	\$0	\$4,037,851	\$3,725,086	\$200,000	\$112,765
Program Management Federal (10%)	\$17,301,884	\$1,101,100	\$359,718	\$18,043,266	\$16,941,960	\$500,000	\$601,306
Program Management State Match (10%)	\$14,679,132	\$647,500	\$0	\$15,326,632	\$13,832,833	\$400,000	\$1,093,799
Total Program Management	\$31,981,016	\$1,748,600	\$359,718	\$33,369,898	\$30,774,793	\$900,000	\$1,695,105
Local Assistance (15%)	\$24,789,180	\$1,190,000	\$1,286,300	\$24,692,880	\$23,041,092	\$800,000	\$851,788
Total Set-Aside Funds	\$67,795,483	\$3,599,260	\$2,659,155	\$68,735,588	\$63,758,678	\$2,150,000	\$2,826,910
	Cumulative Construction Funds 6/30/2019	Construction Funds SFY2020 July 1, 2019 to Dec. 31, 2019	Projected Funds from January 2020 to June 2020	Projected Activity in SFY2021	Cumulative Construction Funds 6/30/2021		
Construction Assistance Funds From Cap Grant	\$160,247,431	\$8,355,836	\$0	\$8,059,240	\$176,662,507		
20% State Match For Cap Grant	\$38,759,157	\$2,200,800	\$0	\$2,202,200	\$43,162,157		
Loan Repayments (Principal Only)	\$69,698,117	\$4,140,551	\$4,340,064	\$8,680,128	\$86,858,861		
Interest On Loans	\$7,274,480	\$445,290	\$432,558	\$860,000	\$9,012,328		
Interest Earnings	\$2,128,581	\$307,124	\$240,000	\$480,000	\$3,155,705		
Total Funds For Loans	\$278,107,766	\$15,449,602		\$20,281,568	\$318,851,558		
Closed Loans	\$249,703,499	\$2,658,100	\$538,000	\$47,390,940	\$300,290,539		
Letters of Commitment	\$0	\$0	\$0	\$0	\$0		
Planned Future Letters of Commitment		\$0		\$18,351,700	\$18,351,700		
Total Committed/Planned Funds	\$0	\$0		\$18,351,700	\$18,351,700		
Funds Remaining To Be Committed					\$209,319		
	Cumulative Administration Fees Thru 6/30/2019	Administration Fees SFY2020 July 1, 2019 to Dec. 31, 2020	Projected Fees from January 2020 to June 2020	Projected Fees in SFY 2021	Cumulative Administration Fees 6/30/2021		
Administrative Fees on DWTRF Assistance	\$7,274,480	\$445,290	\$432,558	\$860,000	\$9,012,328		
Administrative Fees Withdrawals	\$529,360	\$77,041	\$52,710	\$108,000	\$767,111		
Net Administrative Fees	\$6,745,120	\$368,249	\$379,848	\$752,000	\$8,245,217		
Total Program Funds	\$352,648,369	\$19,417,111	\$3,039,003	\$89,769,156	\$390,855,452		\$11,281,445

B. Uses of the New Funds (Construction & Set-Asides)

Below in Table 5 is a summary of the amounts to be used for each activity. Any funds not used for set-aside activities will be used for construction projects. Please note that the DWTRF interest earnings are utilized to supplement the construction funds, specifically for project overruns, as necessary. Planned disbursement of these funds will be included in the payment schedule included in the grant application.

**TABLE 5. USES OF NEW/REQUESTED FUNDS
(Construction & Set-Asides)**

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Sources and Uses of Funds							
Uses of Funds	Federal Funds	Infrastructure Council Grant Match	State Program Match	Admin Fee/Admin Interest	Interest Earnings	Loan Repayments	Total
Administration	\$440,440	\$0	\$0	\$0	\$0	\$0	\$440,440
Technical Assistance	\$220,220	\$0	\$0	\$0	\$0	\$0	\$220,220
State Program Management	\$1,101,100	\$0	\$647,500	\$0	\$0	\$0	\$1,748,600
Local Assistance	\$1,195,000	\$0	\$0	\$0	\$0	\$0	\$1,195,000
Construction	\$8,054,240	\$2,202,200	\$0	\$0	\$0	\$0	\$10,256,440
Total	\$11,011,000	\$2,202,200	\$647,500	\$0	\$0	\$0	\$13,860,700

Notes to Table 5:

- Administrative Fees, Interest Earnings, and Loan Repayments are included in the grant application.
- Cash draws for loan disbursements will be proportional to the federal construction and matching state funds.
- There are no plans to transfer funds between the DWTRF and the Clean Water State Revolving Fund.

C. Types of Projects Funded

OEHS is proposing to fund seven projects as described in Attachment 3. These projects include distribution system upgrades and extensions to new customers. Projects solely for economic growth or projects solely for fire protection are not eligible for DWTRF assistance.

D. Project Benefits Reporting (PBR) and National Information Management System (NIMS)

OEHS will report appropriate information into the PBR and NIMS systems as required by EPA. This IUP will be administered as required by the Operating Agreement between OEHS and EPA.

IV. GOALS OF THE DWSRF

A. Short Term Goals

- 1) Increase source water protection for surface water by further developing a surface source water/early warning detection system: River Alert Information Network (RAIN) in the Monongahela River Basin and examine feasibility of duplicating that system into other drainage basins in West Virginia.
- 2) Support the Stream Gaging Network for the purpose of collecting stream flow data that is used to document variations in flow over time for various rivers in West Virginia. These

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data are used to develop more accurate flow models for future protection area generation and determining more accurate time of travel models for waterborne contaminants.

B. Long Term Goals

- 1) Provide the necessary infrastructure replacement, upgrade, and service with the overall goal of upgrading water quality for existing public water customers and providing water to new customers whose water currently does not comply with the SDWA or is unreliable. The DWTRF has a utilization goal of meeting or exceeding the national average and the average of EPA's Region 3 states by achieving a 2021 fund utilization goal of 95%.
- 2) Develop the DWTRF to ensure the long term perpetuity of the fund where after the initial capitalization years, the loan repayments in the fund will be used to finance additional projects.
- 3) Close the DWTRF loans to recipients within twelve months of a letter of binding commitment.
- 4) Continue implementation of the Capacity Development Strategy including assisting existing public water supplies in acquiring and maintaining the technical, managerial, and financial capacity to comply with the federal SDWA. Provide assistance to ensure that all new community water supplies and new non-transient non-community supplies have the technical, managerial, and financial capacity to comply with current regulations and those regulations likely to be in effect, when the system initiates operations.
- 5) Continue development, enhancement, and improvement of the PWSS through improved methodology and consistency of the sanitary surveys. This includes completion of a full complement of staffing in the district offices and implement an automated data collection system for laboratories to forward results to our agency.
- 6) Protect source water from future contamination through Source Water Assessment and Protection (SWAP) and Well Head Protection (WHP) programs.
- 7) Continue to implement an operator training continuing education program focusing on training course/instructor criteria and operator training requirements.
- 8) Continue to strive to minimize unliquidated obligations (ULOs) by expeditiously disbursing both set-aside and construction funds in a timely manner. The goal is to attain a half year or less balance for the 2%, 10% and 15% set-asides at the time a new grant is awarded. Set-aside amounts requested in this IUP are supportive of minimizing ULOs.

V. SET-ASIDE ACTIVITIES

In addition to the DWTRF construction fund, there are four "set-aside" or non-project accounts to be administered by OEHS. These separate accounts include Administration of the Loan

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Program, Technical Assistance, State Program Management, and Local Assistance. In addition to funding for staff expenses, there are also programs being undertaken to improve the health and safety of State water systems. These activities are described in more detail in the associated grant application work plan. The set-aside programs demonstrate compliance with the capacity development authority, capacity development strategy, and operator certification program provisions in order to avoid withholdings.

The different set-aside accounts are specified in the SDWA to enable the State to finance the cost of administering and managing the DWTRF program and supporting local public water systems. These accounts are described in more detail below.

The goals, objectives, methods, outputs, and outcomes for these set-asides are located in the grant work plan.

No In-Kind Service funds from this grant will be used.

A. Administration (\$440,440)

The SDWA authorizes the State to use 4% of the Capitalization Grant for administration of the DWTRF program or the maximum based on three options listed below. Based on option #3, \$440,440 is eligible from the grant for the administration set-aside.

Option #	Description	Calculation	Result
1	\$400,000 Flat Rate	n/a	\$400,000
2	1/5 th of one percent of the current total net position of the fund	\$168,148,727 X 0.002	\$336,297
3	4 percent of grant	\$11,011,000 X 0.04	\$440,440

The State plans to use this set-aside for administration of the program. Administrative tasks include but are not limited to:

- 1) Developing legislation regarding issues related to the DWSRF.
- 2) Preparing the Capitalization Grant agreement.
- 3) Developing memorandums of understanding between federal and State agencies.
- 4) Reviewing West Virginia Infrastructure Council applications.
- 5) Preparing the IUP.
- 6) Providing project review, priority ranking procedures, environmental reviews, and required DWTRF project information coordination (project scheduling, advertisements, loan closure).
- 7) Providing project inspections, administration of the funds.
- 8) Tracking and accounting of the funds.
- 9) Auditing of the funds.

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- 10) Processing payment requests and managing loan repayments.
- 11) Assisting Compliance & Enforcement staff in providing monitoring.
- 12) Provide grants as available for planning and evaluation of proposed projects.

The DWTRF program will utilize the WDA, which already administers State-funded construction loans to public wastewater and water systems.

B. State Program Management (\$1,101,100 – Federal; \$647,500 - State Match Cash;

The SDWA authorizes the State to use up to 10% from the federal Capitalization Grant to support the Public Water Supply Supervision (PWSS), Data Information System Management, PWS operator certification and programs. This year no federal funds are requested from the reserve account. It will support the water systems needing to improve their facilities and security through source water grants. The State match is made through State appropriations.

C. Technical Assistance (\$220,220)

The SDWA authorizes the State to use up to 2% of each capitalization grant to provide technical assistance to small water systems. The full amount of the 2% is being requested. Small water systems are defined as those that serve less than 10,000 persons. These set-aside funds will be used for technical, financial, and managerial continuing education training of water system operators to meet their certification and training requirements. A contractor, meeting the State requirements, will coordinate the technical assistance program.

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D. Local Assistance and Other State Activities (\$1,195,000)

The SDWA authorizes the State to use up to 15% of the federal capitalization grant for this set-aside, but no more than 10% may be used for any one activity. Only \$1,195,000 is being requested for this set-aside and adds \$456,650 to the construction fund for this grant. These set-aside funds will be used for the Capacity Development Program, the Wellhead Protection (WHP) Program and grants, to support the Interstate Commission on the Potomac River Basin (ICPRB), Data Information System Management, improving GIS capabilities, to support the River Alert Information Network (RAIN), an internet website for GIS support, and a stream gauge network for local waters. Working in conjunction with the Compliance and Enforcement Program, the Capacity Development Program will provide support to non-compliant systems not needing infrastructure investment.

VI. PUBLIC COMMENT PROCESS

The public comment process for this IUP is described in attachment 4.

ATTACHMENT 1

DWTRF Project Priority Ranking System

System: _____ County: _____ Date _____

Project#: _____ Project: _____

DWTRF Project Priority Ranking System

(1) _____ **PUBLIC HEALTH** (0 to 50 points - 50 points maximum)

Up to fifty points may be given to a project for public health. The public health categories are listed below. A project may apply to several categories. In such cases, the project will be given the highest rating.

(A) _____ **Projects to correct acute health hazards** - (50 points) Fifty points will be given to projects that propose to eliminate a problem that poses an acute, ongoing health hazard to the consumer. Violations should be included in the Enforcement Tracking Tool (ETT). Examples are listed below.

- Projects that address documented nitrate or nitrite violations.
- Projects that address documented exceedances of primary inorganic MCL's
- Projects that address a problem where a system has significant turbidity violations. The project must ensure compliance to receive DWTRF assistance.
- Projects that address a problem where a system has significant microbiological violations. The project must ensure compliance to receive DWTRF assistance.
- Projects that propose filtration for surface water source that currently do not have filtration.
- Projects that propose disinfection for a system that currently do not have disinfection.
- Projects that address documented or potential water outages for extended periods (1 week or more) due to contamination or system/design deficiencies.

(B) _____ **Correct chronic health hazards** - (40 points) Forty points will be given to projects that propose to eliminate a chronic health hazard to the consumer. Examples are listed below.

- Projects that address occasional turbidity violations for a system that has a moderate ETT score.
- Projects that address occasional microbiological violations for a system that has a moderate ETT score.
- Projects that address exceedances of the Lead and Copper Rule.
- Projects that address documented exceedances of primary organic MCL's.

- Projects that address documented exceedances of radiological MCL's.
- Projects that address treatment technologies for the SWTR.
- Projects that address documented or potential water outages (1 to 6 days) due to contamination or system/design deficiencies.
- Projects that enhance source water protection to prevent widespread contamination throughout the distribution system via alternate water sources or additional storage.

(C). _____ **Correct periodic health hazards** - (30 points) Thirty points will be awarded to projects that propose to eliminate a documented health hazard which has occurred periodically. Examples are listed below.

- Projects that address low chlorine residuals.
- Projects that address periodic exceedances of a primary MCL.
- Projects that address periodic water outages to some customers for at least a day due to design or system deficiency.
- Projects to bring existing facilities to current design standards which affect water quality: treatment, chemical application, pumping facilities, finished storage and distribution systems.

(D) _____ **Correct potential health hazards** - (20 points) Twenty points will be given to projects that propose to eliminate potential health hazards. Examples are listed below.

- Projects for line extensions to areas with poor water quality or limited quantity.
- Projects to develop new source to augment existing sources where there is no other health hazard associated with the project. Dams and reservoirs are not eligible.
- Projects for installation / upgrade of waste disposal facilities.

(E) _____ **System Improvements** - (10 points) Ten points will be given to projects that propose general system improvements. Examples are listed below.

- Projects to replace / repair old, undersized, or malfunctioning equipment.
- Projects to replace leaking water line.
- Projects to improve aesthetic quality of the water such as iron, manganese, taste and odor.

(2) _____ **REGULATORY COMPLIANCE** (0 to 20 points, 20 points maximum)

(A)_____ **Correction of chronic non-compliance** - 20 points

Compliance with administrative orders, agreements, statutes, or regulatory requirements.

(B)_____ **Compliance with periodic and potential non-compliance** - 10 points

Compliance with sanitary survey recommendations, NPDES permits, new

- regulations, or design standards.
- (C)_____ Protection against non-compliance -5 points
Compliance with proposed regulations.
- (D)_____ Line extensions with documented cases of fecal coliform - 3 points
- (3) _____ **AFFORDABILITY** (0 to 30 points) (Based on post-project user rates)

Rates = 0% to 0.49% MHI (0 points)
Rates = 0.50% to 0.99% MHI (5 points)
Rates = 1.00% to 1.24% MHI (10 points)
Rates = 1.25% to 1.49% MHI (15 points)
Rates = 1.50% to 1.74% MHI (20 points)
Rates = 1.75% to 1.99% MHI (25 points)
Rates \geq 2.0% MHI (30 points)

Note: MHI = median household income by county or municipality as published by the West Virginia Infrastructure and Jobs Development Council (IJDC) at time of approval for funding by IJDC. If the project sponsor can clearly show that a magisterial district census income reflects the affordability more appropriately the magisterial district census data may be used in place of the county census data.
Rates are based on 3,400 gallons.

Public Health	
Compliance	
Affordability	
Total Points	

Tie Breaker:

If two or more systems have the same score, the following will be used as the tie- breaker.

1. Whichever system has the highest public health rating will be ranked highest.
2. In the event there is still a tie, then the system with the smaller population served will be ranked higher.

Definitions

EPA -- Environmental Protection Agency
MCL -- Maximum Contaminant Level
MHI -- Median Household Income
ETT -- Enforcement Tracking Tool
SWTR -- Surface Water Treatment Rule

ATTACHMENT 2

Project Priority List/Comprehensive List

WEST VIRGINIA 20DWTRFA PROJECT PRIORITY LIST (PPL) COMPREHENSIVE LIST

RANKING	SYSTEM	COUNTY	PROJECT NAME	PROJECT DESCRIPTION	LJDC NUMBER	1¼ PERCENT MHI	3400 CURRENT	3400 PROPOSED	AFFORD-ABILITY	DISADVANTAGED	DWTRF TERMS	NEW POPULATION	POINTS	HEALTH	COMPLIANCE	AFFORD-ABILITY	DWTRF FUNDING REQUESTED	TOTAL COST	BYPASS RATIONALE
1	Town of Franklin	Pendleton	Plant Upgrade/Line Replacement	The proposed project consists of: 8" directional drill river crossing, upgrades at the Hanover Storage Tank, replacement of the altitude valve, and upgrades to the Morton Storage Tank. At the water treatment plant work includes; upgrade secondary water source, replace backwash pump, upgrade backwash tank, upgrade plant instrumentation, upgrade plant building, and upgrade sediment basin, Installation of two 40,000 gallon clear well tanks, new automation, VFD's on pump motors, new automatic transfer switch, and replace the plant emergency generator.	2019W-1845	\$36.26	\$53.75	\$75.25	2.59	Yes	1%, 0.25% Admin, 30 years, Principal Forgiveness	1,702	80	30	20	30	\$1,300,000	\$3,472,318	Project design has not started and is not ready for construction.
2	Chestnut Ridge PSD	Barbour	Water System Improvements	This project will make improvements to the PSD's water distribution system, including water line and valve replacement, upgrading booster stations, replacing water meters and upgrades to the SCADA and telemetry systems.	2019W-1835	\$32.29	\$65.47	\$65.47	2.53	Yes	1%, 0.25% Admin, 30 years, Principal Forgiveness	2,643	80	30	20	30	\$1,000,000	\$5,300,000	Design loan will be funded. Project design has not started and is not ready for construction.
3	City of Ronceverte	Greenbrier	Water system upgrade-Phase I	The project will replace the 250,00-gal West End Tank and the 239,000-gal Brier Hill Tank. 2.3 miles of water main will be replaced to reduce water loss.	2018W-1740	\$39.48	\$52.29	\$55.17	1.75	Yes	0.75%, No Admin., 30 years, Principal Forgiveness	960	75	40	10	25	\$3,700,000	\$4,200,000	Project will be funded
4	Town of Mill Creek	Randolph	Water System Improvements	This project will replace the water lines within the Town of Mill Creek's water distribution system.	2012W-1387	\$30.94	\$39.44	\$51.66	2.09	Yes	Principal Forgiveness	974	70	30	10	30	\$862,475	\$6,432,475	Project will be funded
5	Town of Oceana	Wyoming	Water System Upgrade Phase II	Phase 2 of a water system upgrade project for the Town of Oceana and the surrounding area.	2019W-1840	\$35.14	\$39.23	\$48.93	2.02	Yes	1%, 0.25% Admin, 30 years, Principal Forgiveness	3,797	70	30	10	30	\$2,500,000	\$4,000,000	Project design has not started and is not ready for construction.
6	Central Hampshire PSD	Hampshire	Augusta System Improvements	The project includes approximately 2.5 miles of 2-inch to 8-inch distribution line, one (1) hydropneumatics pump station, 14 gate valves, 4 fire hydrants, telemetry with software and other appurtenances. Also included in the project scope is meter replacement.	2019W-1792	\$29.16	\$53.72	\$58.82	2.52	Yes	0.5%, 0.25% Admin, 30 years, Principal Forgiveness	3,938	70	30	10	30	\$1,800,000	\$2,783,000	Project design has not started and is not ready for construction.
7	Town of Worthington	Marion	Worthington Line Upgrades/Replacement	The project will make upgrades to the Town's distribution system and will include an interconnection between Four States and Monongah. The project also includes a water line extension to the Harter Hill area that will serve five (5) new customers.The project will consist of the installation of approximately 18,900 LF of 6" and 18,000 LF of 2" water line; 10,000 LF of ¾" service line replacement; 28 valves; two (2) PRV Stations; 12 fire hydrants; two (2) solenoid master meters; 13 leak detection meters; 465 radio-read meters; all necessary piping, valves and appurtenances.	2019W-1810	\$27.99	\$27.12	\$43.83	1.96	Yes	1%, 0.25% Admin, 30 years, Principal Forgiveness	1099	65	30	10	25	\$2,866,390	\$3,366,390	Project design has not started and is not ready for construction.
8	Cowen PSD	Webster	Water System Rehabilitation	This project will replace about 30,000 linear feet of 6-inch through 1-inch water line, about 210 service connections, (25) fire hydrants, (250) water meters, an intake pump, and all necessary valves and appurtenances. Also included will be the rehabilitation and improvements to the raw water intake, Nursing Home Booster Station, Bolair Booster Station, 105,000 gallon Nursing Home Tank, 200,000 gallon Cowen Tank,	2018W-1768	\$36.36	\$37.59	\$36.95	1.52	Yes	0.5%, 0.25% Admin, 30 years, Principal Forgiveness	3,139	65	30	10	25	\$2,821,000	\$3,321,000	Project will be funded
9	Nettie-Leviasy PSD	Nicholas	Raw water line replacement and McCue Road extension	Raw water line replacement and McCue Road extension for 13 new customers	2018W-1737	\$40.80	\$44.32	\$53.12	1.63	Yes	0.75%, 0.5% Admin., 30 years, Principal Forgiveness	1413	60	30	10	20	\$1,800,000	\$2,192,190	Project will be funded with remaining 2019 grant funds.
10	Town of Pineville	Wyoming	Water System Improvements	The Town will be making upgrades and repairs at the water treatment plant, replcing portions of the distribution system, and replacing master meters in the system. The project is requesting a DWTRF design loan.	2020W-1891	\$55.60	\$45.00	\$67.50	1.52	Yes	1%, 0.25% Admin, 30 years	2,792	60	30	10	20	\$550,000	\$8,343,282	Project design has not started and is not ready for construction.
11	Camden On Gauley	Webster	Cranberry Ridge & Gauley Mills Extension	This project will extend water service to 84 new customers in the areas of Cranberry Ridge and Gauley Mills. The project will include approximately 3.3 miles (17,500 LF) of 6" and 2" water mains, (1) booster pump station, (4) fire hydrants, and all necessary valves and appurtenances.	2016W-1627	\$29.19	\$44.42	\$44.42	2.44	Yes	0.75%, 0.5% Admin., 30 years, Principal Forgiveness	701	53	20	3	30	\$400,000	\$1,388,000	Project design has not started and is not ready for construction.
12	Jumping Branch Nimitz PSD	Summers	Broomstraw Rd./Mark Meador Rd. Extension	This project will provide water service to the Broomstraw Rd. and Mark Meador Rd. areas of western Summers County. Residents experience low water quality and poor quality water issues in existing private wells. In addition, many residents haul water, use cisterns, and some report fecal contaminants in wells.	2019W-1815	\$38.18	\$57.94	\$66.58	2.18	Yes	1%, 0.25% Admin, 30 years	1,414	53	20	3	30	\$400,000	\$4,811,800	Project design will be funded.
13	Jumping Branch Nimitz PSD	Summers	Madams Creek Waterline Ext.	To provide water service to the Madams Creek Rd. area of western Summers Co. Residents experience low water quality and poor quality water issues in existing private wells. In addition, man residents haul water, use cisterns, and some report fecal contaminants in wells.	2019W-1816	\$38.18	\$57.94	\$74.82	2.45	Yes	0.5%,0.25% Admin, 30 years	1,414	53	20	3	30	TBD	\$4,418,500	Project design has not started and is not ready for construction.
14	New Haven PSD	Fayette	Contract 18 - Sunday Rd./Confluence/Elliott Cutoff area.	Water line extension to Sunday Rd./Confluence/Elliott Cutoff area, including possible Stringtown connector & Clifty connector. Approximately 67 new customers.	2018W-1761	\$37.81	\$49.24	\$52.93	1.75	Yes	1%, 0.25% Admin, 30 years, Principal Forgiveness	4,409	48	20	3	25	\$2,493,325	\$2,933,635	Project design has not started and is not ready for construction.

WEST VIRGINIA 20DWTRFA PROJECT PRIORITY LIST (PPL) COMPREHENSIVE LIST

RANKING	SYSTEM	COUNTY	PROJECT NAME	PROJECT DESCRIPTION	LIDC NUMBER	1¼ PERCENT MHI	3400 CURRENT	3400 PROPOSED	AFFORD-ABILITY	DISADVANTAGED	DWTRF TERMS	NEW POPU-LATION	POINTS	HEALTH	COMPLIANCE	AFFORD-ABILITY	DWTRF FUNDING REQUESTED	TOTAL COST	BYPASS RATIONALE
15	New Haven PSD	Fayette	Contract 19 - Ramsey Extension	Water line extension to Cane Branch, Ramsey, Hawver Rd., South Miller Ridge, Arrowwood Rd., Dotson Ridge & Lower Dotson Ridge, Whispering Pines. Approximately 73 new customers.	2018W-1760	\$37.81	\$49.24	\$58.22	1.92	Yes	1%, 0.25% Admin, 30 years, Principal Forgiveness	4,438	48	20	3	25	\$3,927,250	\$4,841,686	Project design has not started and is not ready for construction.
16	Claywood Park PSD	Wood	Freeport Water Extension	This project is to extend water service to approximately 45 new customers in the Freeport Area of Wirt County. The project will consist of the installation of approximately 12,325 LF of 6", 4,100 LF of 4", 4,824 LF of 3", 1,125 LF of 2" water line, one (1) constant pressure booster station and all necessary valves and appurtenances.	2018W-1782	\$40.99	\$58.85	\$58.85	1.79	Yes	0.5%, 0.25% Admin, 30 years, Principal Forgiveness	44,028	45	20	0	25	\$637,000	\$1,137,000	Project will be funded.
17	Lashmeet PSD (WVAWC)	Mercer	Mary Branch Water Extension	Extension of public water to the Mary Branch area of Mercer County, West Virginia. 4 miles. Approximately 42 new customers.	2018W-1728	\$37.70	\$49.24	\$51.14	1.70	Yes	1%, 0.25% Admin, 30 years	127	40	20	0	20	\$907,865	\$2,055,000	Project design has not started and is not ready for construction.
18	Tomlinson PSD	Hancock	New Water Treatment Plant and Distribution System Upgrades	This project proposes to build a new 640 GPM water treatment plant. The project will include a 250,000 gallon water storage tank, a 100,000 gallon water storage tank, 2 new booster pump stations, approximately 44,000 LF of 8-inch and smaller water line, and miscellaneous fire hydrants, valves and other appurtenances	2017W-1694	\$40.17	\$31.18	\$40.17	1.25	Yes	0.75%, 0.5% Admin., 30 years	2,012	40	20	5	15	\$6,542,000	\$6,542,000	Project will be funded with remaining 2019 grant funds.
19	Town of Elizabeth*	Wirt	Munday Extension	This project extends water service to approximately 100 new customers in the Munday Road, Fish Hatchery Road, Enterprise Road and the Creston areas. The project includes approximately 10 miles (53,000 feet) of 6-inch distribution line, one (1) – 40 GPM booster pump station, 41 gate valves, 37 fire hydrants and other appurtenances.	2018W-1735	\$40.99	\$49.06	\$50.00	1.53	Yes	0.75%, 0.5% Admin., 30 years, Principal Forgiveness	2,261	40	20	0	20	\$3,000,000	\$3,600,000	Project will be funded with remaining 2019 grant funds.
20	Salt Rock PSD (WVAWC)	Cabell	Waterline Extension Project	This project will extend water service to 106 new customers in the Upper Tom's Creek, Big Cabell Creek, Lower Heath Creek, Raccoon Creek, Cavill Creek and Frontage Road areas of Cabell County. The project will consist of approximately twelve (12) miles of 1" through 8" water line, one hundred and six (106) meter settings, twenty-eight (28) fire hydrants and all necessary valves and appurtenances.	2017W-1714	\$30.30	\$49.24	\$55.10	1.72	Yes	0.75%, 0.5% Admin., 30 yrs, Principal Forgiveness	84,350	40	20	0	20	\$3,294,840	\$3,660,000	Project will be funded with remaining 2019 grant funds.
21	Kanawha Falls PSD	Fayette	System Improvements	This project will replace all the existing water meters with radio read meters; make repairs to the Low water storage Tank to increase it's useful life; add telemetry for all tanks and booster stations; and at the water treatment plant replace the filters, backwash pumps, high service pumps & suction lines, install winch/trolley system for high service pumps, clean the clearwell and all necessary piping, valves and appurtenances.	2019W-1799	\$37.80	\$39.56	\$45.45	1.50	Yes	1%, 0.25% Admin, 30 years, Principal Forgiveness	2,410	40	10	10	20	\$3,342,000	\$3,842,000	Project design has not started and is not ready for construction.
22	Wellsburg Water System	Brooke	Water System Improvements	The project will consist of the replacement of existing water lines in the City of Wellsburg, with all the appurtenances included. Also, upgrades to the City's water treatment plant, including electrical upgrades, sludge process automation, automation in the filtration process and improved backwash solids processing.	2019W-1837	\$39.11	\$36.78	\$44.14	1.69	Yes	1%, 0.25% Admin, 30 years, Principal Forgiveness	4,320	40	10	10	20	\$5,472,458	\$6,467,458	Project design has started but is not ready for construction.
23	Greenbrier County PSD #2	Greenbrier	Charmco to Rainelle Extension	This project extends water service to approximately 110 new customers from Charmco to Rainelle. The project includes approximately 4.5 miles (23,600 feet) of 2-inch to 8-inch distribution line, one (1) – pressure reducing station, one (1) 50,000-gallon water storage tank, 18 gate valves, 15 fire hydrants, 10 flushing hydrants and other appurtenances.	2018W-1745	\$41.40	\$44.29	\$54.65	1.65	Yes	0.75%, 0.5% Admin., 30 years, Principal Forgiveness	1,390	35	20	0	15	\$2,250,000	\$2,250,000	Project design has not started and is not ready for construction.
24	Craigsville PSD	Nicholas	Water Treatment Plant and Distribution System Upgrades	This project will upgrade the existing water treatment plant to 900 gpm and installing a dedicated waterline from the water treatment plant to existing elevated water storage tanks. The project will consist of a new raw water intake screen and hydroburst system, raw water pump station, flocculator, sedimentation basin, filter equipment, backwash solids pump station, chemical feed system, painting four (4) existing water tanks, approximately 4 miles of 10" water line, and all necessary appurtenances.	2015W-1590	\$32.53	\$29.45	\$36.46	1.40	Yes	0.75%, 0.5% Admin., 30 years, Principal Forgiveness	1,813	35	10	10	15	\$2,318,000	\$8,086,000	Project will be funded.
25	City of Follansbee	Brooke	Water Treatment Plant and Distribution System Upgrades	This project proposes to make improvements to the Hooverson Heights water treatment plant including the raw water intake. Portions of the distribution system of both the Hooverson Heights and Follansbee systems will be replaced to help reduce the high unaccounted water loss. Four existing tanks will be cleaned and two others tanks will be painted to extend the useful life of the tanks.	2018W-1756	\$42.32	\$18.12	\$42.32	1.25	Yes	0.75%, 0.5% Admin., 30 years	4,970	35	10	10	15	\$4,500,000	\$4,500,000	Project design has not started and is not ready for construction.

WEST VIRGINIA 20DWTRFA PROJECT PRIORITY LIST (PPL) COMPREHENSIVE LIST

RANKING	SYSTEM	COUNTY	PROJECT NAME	PROJECT DESCRIPTION	LJDC NUMBER	1¼ PERCENT MHI	3400 CURRENT	3400 PROPOSED	AFFORD-ABILITY	DISADVANTAGED	DWTRF TERMS	NEW POPU-LATION	POINTS	HEALTH	COMPLIANCE	AFFORD-ABILITY	DWTRF FUNDING REQUESTED	TOTAL COST	BYPASS RATIONALE
26	City of Ravenswood	Jackson	Water System Improvements	The City desires to refurbish or replace components of the system that are no longer fully functional or are deteriorating. Additional improvements will address shortcomings identified by the WV Bureau for Public Health in its most recent (2017) Sanitary Survey.	2020W-1860	\$31.82	\$23.71	\$29.29	1.15	No	3%, 0.25% Admin., 25 years	9,029	30	10	10	10	\$5,062,245	\$6,201,045	Project design has not started and is not ready for construction.
27	Parkersburg Utility Board	Wood	Line Upgrade/Replacement	Replacement of undersized and deteriorated water mains throughout the distribution system, South Side/Minner Ave. service area improvements, rehab of WTP Backwash Basin, and rehab. Of the South Reservoir and other storage tank improvements	2020W-1882	\$33.21	\$29.00	\$32.26	1.21	No	3%, 0.25% Admin., 20 years	38,189	30	10	10	10	\$7,000,000	\$14,690,000	Project design has not started and is not ready for construction.
28	City of Weirton	Hancock	Water Treatment Plant Refurbish & Expand	This project will upgrade and increase the Weirton Water Board's 4.0 MGD Water Treatment Plant to an 8.0 MGD plant. Major new construction will consist of a pre-sedimentation basin with sludge removal equipment, a flocculation tank with plate settlers, a sludge thickener, a backwash filter tank, an emergency generator and the expansion of the existing filter building and clearwell. The existing Raney Well will also be reconstructed.	2019W-1795	\$41.49	\$24.96	\$41.84	1.27	Yes	1%, 0.25% Admin, 30 years	24,000	25	10	0	15	\$2,000,000	\$22,470,000	Project design has not started and is not ready for construction.
Camden on Gauley PSD and Tomlinson PSD were evaluated using 2010 Census Data. All other projects were evaluated using 2015 Census Data * This project will be a non-equivalancy project.																			

ATTACHMENT 3

Funding List Detailed Description With

- Green Project Reserve**
- Additional Subsidization**

2020 Funding List Detailed Description

RANKING	SYSTEM	PROJECT DESCRIPTION	DWTRF TERMS	POPULATION	ESTIMATED DATE OF CONSTRUCTION	GREEN PROJECT DESIGNATION	GREEN PROJECT RESERVE	PRINCIPAL FORGIVENESS	TOTAL DWTRF ASSISTANCE FROM GRANT	TOTAL PROJECT COST
2	Chestnut Ridge PSD	Design Loan Only for 2020. This project will make improvements to the PSD's water distribution system, including water line and valve replacement, upgrading booster stations, replacing water meters and upgrades to the SCADA and telemetry systems.	Principal Forgiveness	2643	Design Loan Only	N/A	\$0	\$500,000	\$500,000	\$3,472,318
3	City of Ronceverte	The project will replace the 250,00-gal West End Tank and the 239,000-gal Brier Hill Tank. 2.3 miles of water main will be replaced to reduce water loss.	0.75%, 0.5% Admin, 30 years, Principal Forgiveness	960	November-20	N/A	\$0	\$600,000	\$3,700,000	\$4,200,000
4	Town of Mill Creek	This project will replace the water lines within the Town of Mill Creek's water distribution system.	Principal Forgiveness	974	November-20	N/A	\$0	\$862,475	\$862,475	\$6,432,475
7	Cowen PSD	This project will replace about 30,000 linear feet of 6-inch through 1-inch water line, about 210 service connections, (25) fire hydrants, (250) water meters, an intake pump, and all necessary valves and appurtenances. Also included will be the rehabilitation and improvements to the raw water intake, Nursing Home Booster Station, Bolair Booster Station, 105,000 gallon Nursing Home Tank, 200,000 gallon Cowen Tank, and adding a portable	0.5%, 0.25% Admin, 30 years, Principal Forgiveness	3,139	December-20	N/A	\$0	\$500,000	\$2,821,000	\$3,321,000
10	Jumping Branch Nimitz PSD	This project will provide water service to the Broomstraw Rd. and Mark Meador Rd. areas of western Summers County. Residents experience low water quality and poor quality water issues in existing private wells.	1%, 0.25% Admin, 30 years	1,414	Design Loan Only	N/A	\$0	\$0	\$400,000	\$4,811,800
13	Claywood Park PSD	This project is to extend water service to approximately 45 new customers in the Freeport Area of Wirt County. The project will consist of the installation of approximately 12,325 LF of 6", 4,100 LF of 4", 4,824 LF of 3", 1,125 LF of 2" water line, one (1) constant pressure booster station and all necessary valves and appurtenances.	0.5%, 0.25% Admin, 30 years, Principal Forgiveness	44,028	September-20	N/A	\$0	\$196,000	\$637,000	\$1,137,000
21	Craigsville PSD	This project will upgrade the existing water treatment plant to 900 gpm and installing a dedicated waterline from the water treatment plant to existing elevated water storage tanks. The project will consist of a new raw water intake screen and hydroburst system, raw water pump station, flocculator, sedimentation basin, filter equipment, backwash solids pump station, chemical feed system, painting four (4) existing water tanks, approximately 4 miles of 10" water line, and all necessary appurtenances.	0.75%, 0.5% Admin, 30 years, Principal Forgiveness	1,813	December-20	N/A	\$0	\$400,000	\$1,335,965	\$8,086,000
Total							\$0	\$2,558,475	\$10,256,440	\$27,988,275

2020 Capitalization Grant Amount: \$11,011,000

\$0 No Green Project Reserve Required	\$2,202,200 20% Minimum Principal Forgiveness Required	\$10,256,440 Total Amount of Grant Construction Funds Available*
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ATTACHMENT 4

Public Comment Process/Response

JULY 1, 2020 – JUNE 30, 2021
***REVISED* INTENDED USE PLAN**
PUBLIC COMMENT PROCESS

The public comment for this IUP will be during the period of December 28, 2020 through January 29, 2021. OEHS will post the Revised IUP on the website and make it available at all district offices and the central office. The draft Revised IUP will be sent to key stakeholders for comment. A public notice will be published in the West Virginia Register (State Register) on December 24, 2020 concerning the web posting and public comment period. A public meeting will be held on January 22, 2021 at 3pm in the OEHS central office to receive public comments.