

***Drinking Water State Revolving Fund
Annual Report - State Fiscal Year 2020***

**Division of Water Restoration Assistance
State Revolving Fund Program
Florida Department of Environmental Protection
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Table of Contents

- I. EXECUTIVE SUMMARY 1
- II. GOALS AND ACCOMPLISHMENTS 2
 - A. Long-Term DWSRF Goals and Accomplishments 2
 - B. Progress Toward Short Term Goals 5
- III. STATE REVOLVING FUND FINANCIAL INFORMATION 7
 - A. Sources of DWSRF Funds 7
 - B. Uses of DWSRF Funds 7
 - 1. Binding Commitments for Projects 7
 - 2. Set-Asides 8
- IV. FINANCIAL SUMMARY 13
 - A. Status of Loans 13
 - B. Loan Disbursements/Cash Draw Proportionality 13
 - C. Set-Aside Disbursements (Excluding DWSRF Administration) 14
 - D. Annual Repayment/Aging of Accounts 14
 - E. Loan Portfolio Analysis 14
 - F. Investments 14
 - G. Financial Statements 14
- IV. OPERATING AGREEMENT PROVISIONS AND CAPITALIZATION GRANT CONDITIONS 14
 - A. Provide a State Match 14
 - B. Binding Commitments within One Year 14
 - C. Equivalency and Cap Grant Projects 15
 - D. Expedient Construction and Timely Disbursements 15
 - E. MBE/WBE Participation 15
 - F. Assurances of Compliance 15
 - G. State Environmental Review Process 15



Attachments

- Table 1: Projects Funded in SFY 2020
 - Table 2: Summary of Revenues and Commitments
 - Table 3: DWSRF Administrative Expenses
 - Table 4: Disbursements and Cash Balances
 - Table 5: Source and Use of Funds
 - Table 6: DWSRF Set-Aside Expenses for SFY 2020
 - Table 7: Binding Commitments and Federal Payments to the LOC
- Appendix A: Unaudited DWSRF Financial Statement



INTRODUCTION

The State of Florida is pleased to submit the Annual Report on the Drinking Water State Revolving Fund (DWSRF) for State Fiscal Year (SFY) 2020. This Report addresses operation of the DWSRF from July 1, 2019 through June 30, 2020. Florida received a capitalization grant award for the Federal Fiscal Year (FFY) 2019, totaling \$43,187,400, on September 24, 2019.

The Florida Department of Environmental Protection (DEP) provides this comprehensive report to the public and the U.S. Environmental Protection Agency (EPA) Region IV to detail the activities undertaken to reach the goals and objectives set forth in the Intended Use Plan (IUP) developed for SFY 2020. The report documents the status of the program by describing the progress made toward long- and short-term program goals, the sources (e.g., federal grants) and uses (e.g., loans and grants) of all funds, the financial status of the DWSRF and compliance with federal DWSRF requirements.

The report is presented in five major sections. Section I of the report is a summary providing highlights of the program. Section II reports on progress made toward reaching long- and short-term goals of the program. Section III reports details on loan, grants and set-aside activities. Section IV provides an overview of financial conditions of the program. Finally, Section V reports on our compliance with provisions of the federal capitalization grant agreement and the operating agreement.

I. EXECUTIVE SUMMARY

The DEP administers the DWSRF program in Florida in accordance with the Safe Drinking Water Act, as amended in 1996, and Section 403.8532, Florida Statutes. The DEP's SRF Program carries out the daily program activities associated with this program.

A total of 19 new projects met the "readiness to proceed" requirements for construction assistance and executed assistance agreements during this reporting period. These projects are listed in Table 1. An additional 8 projects received increases to their construction agreements for projects that were phased or were incompletely funded in past years. In addition, 10 projects received preconstruction assistance. Preconstruction assistance provides funds for planning and design activities. Binding commitments during the period totaled \$159,283,265. Net commitments during the period, including decreases to binding commitments from prior years, totaled \$150,948,594.

We assisted 23 small drinking water systems during this reporting period. Twelve small community sponsors received construction assistance in the form of a new construction loan. Four small community sponsors received an increase to an existing agreement and eight received awards for preconstruction activities. The total funding provided to small communities during the period was \$30,982,783, or 21 percent of the net binding commitments. All the small communities that requested funding received the maximum amount they were eligible to receive.

The total revenue received by the DWSRF during SFY 2020 was \$108,652,497. State funds deposited into the DWSRF fund totaled \$10,982,480. Principal and interest repayments of \$43,531,065 were

received during this period and \$10,951,552 came from interest earned on state funds deposited into the SRF account. The Federal Fiscal Year's (FFY) 2019 capitalization grant totaling \$43,187,400 was received on September 24, 2019.

Set-aside funding for all programs for SFY 2020 was \$4,809,235. For SFY 2020, the cost of administering the DWSRF program was about \$1,230,223.36. Since program inception, the total cost of administering the DWSRF has been \$17,548,940. Florida did not receive an administrative set-aside in SFY 2020. Instead, the program charged a service fee to cover administrative expenses.

OVERVIEW OF FLORIDA'S DWSRF PROGRAM FOR SFY 2020

Total Investment: \$108.6 million

New Construction Projects (Executed Assistance Agreements): 19

Project Funding (Executed Assistance Agreements): \$159 million

Total Population Served (New Construction Projects): 556,680

Weighted Average Loan Interest Rate: 0.99 percent

Repayment Period for Standard Loans: 20 years

Repayment Period for Disadvantaged Assistance Loans: 30 years

Small Systems Funded: 23 awards for a total of \$30.9 million

Disadvantaged Community Funding: 23 systems for a total of \$52.9 million

Set-Aside Assistance: \$4.81 million

Cumulative assistance as a percentage of funds available: 96 percent

Cumulative Assistance Provided as a Percentage of Federal Grant (for projects): 198 percent

II. GOALS AND ACCOMPLISHMENTS

The DEP developed the following goals in the 2020 IUP. Our long-term goals provide a framework that guides our management decisions for the DWSRF program. Our short-term goals support the implementation of the program's long-term goals.

A. Long-Term DWSRF Goals and Accomplishments

There are 10 long-term goals described in the 2020 IUP. These goals are being addressed as follows:

- 1. It is a priority of the State to ensure a safe and adequate water supply for the small communities in Florida. Therefore, the DWSRF program has the goal of maximizing the small community participation in the DWSRF program.**

As required by the SDWA, 15 percent of the funds available are reserved for small communities. To facilitate their participation, small financially disadvantaged communities are eligible to receive grant funds. After the small communities have been funded, large financially disadvantaged communities can compete for the remaining grant funds. The DWSRF rule includes extra priority points for small communities, based on population.

- 2. Provide assistance for projects that will facilitate compliance with national primary drinking water regulations under section 1412 of the Safe Drinking Water Act (SDWA) or otherwise significantly further the health protection objectives of the Act (section 1452(a)(2)).**

The priority system is structured to fund acute public health risk projects first and then the chronic public health risk projects. Projects correcting compliance issues are the next priority and all other projects are funded if funds are available.

All projects require the publication of environmental review documents in the Florida Administrative Register and must be submitted for State Clearinghouse review before they can go to construction. Projects that meet certain conditions, and that are not environmentally controversial may be exempt from the clearinghouse review. A Categorical Exclusion Notice is published for such projects. The environmental and clearinghouse reviews assure that other agencies' concerns are addressed.

- 3. Encourage systems that are not in compliance with the SDWA, projects that provide the greatest protection to public health and projects which assist systems most in need on a per household basis, to participate in the DWSRF program.**

Projects that correct public health risk problems receive the highest priority. For sponsors that meet certain financially disadvantaged criteria, up to 90 percent grants and 30 year loans are available.

- 4. Administer the program so that its revolving nature is assured in perpetuity. We will maintain the long-term financial integrity of the DWSRF by judicious use and management of its assets and by realizing an adequate rate of return. Also, assure the fiscal, technical and managerial integrity of the SRF program by preventing waste, fraud and abuse.**

When the capitalization grants end, all set-asides will end. Program administration costs will be funded by service fees, which can be up to four percent of the loan amount. Repayments are assured through security measures built into the loan agreements.

In addition to low interest loans, the DWSRF rules allow up to 15 percent of the funds allocated each year to be used for grants to financially disadvantaged communities. These grants, however, are limited to a maximum of 30 percent of the capitalization grant for the year in which the funds are obligated. Loan repayments are assured through security measures contained in each agreement.

To assure the technical and managerial integrity of the program, the DEP continues to maintain a highly trained technical and administrative staff. As of June 30, 2020, the SRF Program had 17 employees assigned at least part time to the DWSRF program. This staff included three Professional Engineers, all of whom are assigned full time to the program. Staff reviewed project activities to assure compliance with the various program requirements.

All projects are monitored to ensure that the funds disbursed are being spent on planning, administration, design or construction that is appropriate for the project. Interim and closeout inspections are conducted at the project sponsor's facility to ensure that record keeping is

adequate and that construction is within the scope of the facilities plan and approved plans and specifications.

5. Use the DWSRF set-aside funds strategically and in coordination with the program loans to maximize the DWSRF loan account’s impact on achieving affordable compliance and public health protection.

The DWSRF program, the Source Water Protection (SWP) program and the Public Water System Supervision (PWSS) program work closely together to maximize the efforts of each program. The SWP and the PWSS programs both fund circuit riders with the Florida Rural Water Association. These circuit riders freely exchange information with staff from all three programs and encourage potential project sponsors to participate in funding opportunities with the DWSRF, Rural Development and the Department of Economic Opportunity. In addition, much of the capacity development and compliance work is funded through the PWSS set-aside.

6. Facilitate allocation of program resources to address the most significant public health and compliance problems by actively working with these systems and the drinking water regulatory staff.

Projects that correct a public health risk problem receive the highest priority score and those that are financially disadvantaged may receive a grant to correct the problem. In addition, staff from the PWSS program have received training on the DWSRF and frequently refer projects to us for assistance. These projects often get very personal attention from PWSS and DWSRF staff and generally overcome the many obstacles that these systems normally encounter.

7. Promote the development of the technical, managerial and financial capability of all public water systems to maintain or come into compliance with state drinking water and federal SDWA requirements.

The submission of a business plan is required from all project sponsors prior to receiving assistance from the DWSRF. This plan ensures that the system stays in compliance or, if it is out of compliance, that the project will bring the system into compliance.

DWSRF assists water systems in developing Asset Management Plans (AMP). In SFY 2020, 15 water systems adopted AMP’s that were developed by Florida Rural Water Association with the assistance of DWSRF set-aside funding.

8. Encourage the consolidation and/or regionalization of public water systems that lack the capability to operate and maintain systems in a cost-effective manner, thus allowing them to take advantage of the economics of scale available to larger water systems.

Projects involving consolidation or regionalization receive extra priority points by being included in the Compliance-2 category of the priority point system. The point system was described in the 2020 IUP and can be found in Rule 62-552, Florida Administrative Code. All potential project sponsors are also strongly encouraged to look at options outside their current service area. Program staff strives to bring all potential partners to the table in the planning stages to ensure that regionalization has an adequate chance to come to fruition.

9. Provide drinking water assistance in an orderly and environmentally sound manner.

The priority list is based upon specific procedures that can be found in Rule 62-552, Florida Administrative Code. This rule specifies which projects can be funded from each appropriation and for what type of funding they are eligible. In addition, all projects undergo the State Clearinghouse's review process that includes other agencies and the general public.

10. Assure that all new water systems demonstrate technical, managerial and financial capability with respect to each national primary drinking water regulation in effect.

Rules have now been adopted that require all new systems to demonstrate technical, managerial, and financial capacity before they are permitted. These rules have been implemented by the PWSS Program.

B. Progress Toward Short Term Goals

A description of the Program's short-term goals that were included in the 2020 IUP and the success in fulfilling the goals follows.

1. Coordinate completion of set-aside work plans for each set-aside activity.

This task has been completed for this reporting period and is progressing for SFY 2020. See Section III.B.2. of this report for details.

2. Support the continuation of source water protection programs.

This task has been completed for this reporting period and is progressing for SFY 2020. See Section III.B.2. of this report for details.

3. Coordinate implementation of capacity development strategy with PWSS staff.

This task has been completed for this reporting period and is progressing for SFY 2020. See Section III.B.2. of this report for details.

4. Continue the outreach activities to ensure that systems are aware of and understand DWSRF assistance options and the application process by presenting an annual statewide workshop to publicize the DWSRF program.

Together with the CWSRF Program, a small "SRF 101" funding workshop was held virtually on July 22, 2020. The workshop included detailed information on the SRF programs from the initial requirements for getting a loan through loan closeout and repayments as well as other Division of Water Restoration Assistance (DWRA) funding group overview, such as Nonpoint Source Funding. We plan to continue to conduct these workshops as needed to maximize participation from small communities.

The DWSRF Program participated in quarterly Funders Meetings, sponsored by Florida DEP's DWRA in SFY 2020 to discuss projects and updates among the various funding agencies and programs. These meetings provide an opportunity for the agencies to strengthen partnerships, share information and discuss ways to mutually assist communities in need. Attending the meetings are representatives from the CWSRF Program, DWSRF Program, DEP's Nonpoint Source Management Program, Department of Economic Opportunity, Florida Rural Water Association, the five regional water management districts, the Southeast Rural Community

Assistance Project and USDA Rural Development Rural Utilities Services. This activity will continue in FY 2021.

DWSRF and CWSRF Programs held quarterly joint public meetings, one to present the corresponding State Fiscal Year (SFY) 2021 Intended Use Plans for the SRF Capitalization Grants, the Additional Supplemental Appropriations for Disaster Relief Act (ASADRA) Capitalization Grants, as well as Priority Lists of DWSRF and CWSRF projects; and other quarterly priority list meetings to commit project funding.

DWSRF Program Administrator, Shanin Speas-Frost presented online at the Florida League of Cities' "Grant Funding: Show me the Money! Tips in Grant Writing and Florida's State Revolving Fund Program" webinar, hosted by the Florida Government Finance Officers Association, to 145 online attendees.

DWSRF Program staff presented on the DWSRF Program and Capacity Development Partnership at the Southeast Rural Community Assistance Project's virtual Water and Wastewater System Partnerships Workshop for water and wastewater decision-makers and professionals, including city/town managers and clerks, utility managers, operators, mayors, commissioners and government agencies. Topics included information on types of partnerships; benefits of regional water and wastewater partnerships; steps in the partnership process; and networking opportunities with state and federal funding agencies and other neighboring communities, through interactive exercises and discussion.

DWSRF staff were invited to the Punta Gorda Reverse Osmosis Water Treatment Plant ribbon cutting. DWSRF provided a \$27.7 million low-interest loan for the project. Jon Iglehart from DEP's South District office attended on behalf of the Division.

5. Maintain and improve a database that integrates drinking water project data with the program management data.

This task continues and continuous improvements are being made to the database as issues arise.

There are also plans to create a new, more powerful and robust database and the SRF Program is working with a consultant to determine the best approach and to find a vendor to create the new database. SRF staff have been meeting weekly with the Consultant to make progress with these tasks.

6. Assure that all funds in the program are expended in an expeditious and timely manner by executing binding agreements in an amount equal to not less than 120 percent of the amount of each capitalization grant payment within 1 year after the receipt of such capitalization grant payment.

This task is progressing. The DWSRF program has made a concerted effort to assist project sponsors in successfully applying for their DWSRF loan once they have been obligated funding at the public priority list meeting and entering into a loan agreement in an expeditious manner.

7. Assure the fiscal, technical and managerial integrity of the SRF program by preventing waste, fraud and abuse.

This task is ongoing. All DWSRF project management staff have attending or renewed their Florida Certified Contract Manager training/exam.

8. Use the Federal DWSRF Project and Benefits Reporting System (PBR) to track drinking water projects.

The DWSRF Program uses PBR to track all projects. All the projects in SFY 2020 discussed in this report will have been entered into the PBR by October 15, 2020.

III. STATE REVOLVING FUND FINANCIAL INFORMATION

In this section, we provide a detailed discussion of the DWSRF assistance activities during SFY 2020. We provide details on the sources of funding in our program, the status of loan and grant activities, and the status of set-aside activities.

A. Sources of DWSRF Funds

Current revenues for the reporting period, as shown in Table 2, were \$108,652,497. Funding sources included State match of \$10,982,480, repayments of \$43,531,065, DWSRF investment earnings on state funds deposited into the SRF account of \$10,951,552 and \$43,187,400 in federal cap grant funds.

In addition to the four sources of funds just mentioned, \$8,334,671 was available as a result of decreases to previously funded projects, as shown in Table 5a. Also, the DWSRF started the fiscal year with a balance of \$111,221,244, as shown in Table 5b.

B. Uses of DWSRF Funds

The set-asides utilized by Florida fund technical assistance to small public water systems, State and Local Assistance (includes Source Water Protection) and State Program Management (includes Public Water System Supervision). Details of each of these uses are provided in Section III.B.2 below.

The total funds available for projects were \$223,399,177. This amount is based on total current year revenues of \$108,652,497, plus decreases to previous agreements of \$8,334,671, plus the balance from the previous year of \$111,221,244, minus the set-aside funds of \$4,809,235.

On October 10, 2018, Hurricane Michael devastated portions of the Florida panhandle. To make assistance available to local governments, the program reserved \$5 million for Hurricane Michael recovery projects at the November 14, 2018 priority list meeting. Funding was limited to \$1 million per project sponsor and principal forgiveness was offered to all local governments for projects to repair equipment damaged by the Hurricane. The hurricane recovery projects that received DWSRF funds in SFY 2020 are listed in Table 1 and are marked with an asterisk.

1. Binding Commitments for Projects

Table 1 provides details on the projects receiving assistance during SFY 2020.

As of June 30, 2020, 19 construction assistance agreements had been executed with 18 project sponsors. In addition, 8 existing construction agreements were amended to provide increases for projects that were phased, or were incompletely funded, in previous years, and 10 project sponsor executed agreements during this period for preconstruction assistance.

Net assistance provided to public water systems for new projects, increases to existing projects, and preconstruction activities in SFY 2020 was \$150,948,594. The net assistance provided as loans totaled approximately \$140 million and the total provided as principal forgiveness (grants) to financially disadvantaged communities was \$11 million. Table 2 provides the cumulative totals for revenues and commitments since the inception of the program.

Project Bypassing

To date, no project has been bypassed after being placed on the fundable list, and all projects that have completed the readiness to proceed requirements have been placed on the fundable list.

Small Systems

Fifteen percent of the funds available for obligation to projects in each funding cycle are reserved for small community water systems, or those serving less than 10,000 people. For this reporting period, the reserved funds totaled \$33,509,877. The sum of the binding commitments made to small systems (including decreases to prior year projects) during this reporting period was \$30,982,783 or 21 percent of the net binding commitments. All the small communities that requested funding received the maximum amount they were eligible to receive.

Financially Disadvantaged Systems

Florida allows up to 15 percent of the funds obligated to be used for assistance to financially disadvantaged communities with a further cap of 30 percent of the capitalization grant as required by the SDWA. The DWSRF program provides up to 90 percent principal forgiveness and 30-year loans to qualifying community water systems. Assistance provided during the reporting period to financially disadvantaged communities was \$52,997,593, or 24 percent of the funds available. The funds available include the total revenue available for projects, loan/grant decreases during the fiscal year and the balance of funds unencumbered from the previous fiscal year. The net assistance provided in the form of principal forgiveness, including decreases to prior agreements, during this period was \$10,951,418.

2. Set-Asides

Administration (4 Percent of each Capitalization Grant)

The DWSRF had taken a total of \$7,264,529 in administrative set-asides through SFY 2010; however, with the exception of \$490,225 in American Recovery and Reinvestment Act (ARRA) administration set-aside, the DWSRF has not taken an administrative set-aside since SFY 2003, but instead has reserved the right to take the set-asides from future capitalization grants, if needed. Florida is currently charging a service fee that covers the administrative expenses. As of June 30, 2020 the DWSRF made \$17,931,165 in administration charges from the service fee account, as shown in Table 4b. Charging the service fee throughout the life of the program will minimize the service fees that must be assessed after the capitalization grants end. For loans executed in the first funding year, service fees were included in the loan and deposited directly

into the service fee account. Fees deposited directly total \$520,398. After the first year, the service fee was no longer included in the loan. It is now a separate fee, and is paid when the repayments begin. During the reporting period, \$88,431 was collected from project sponsors in service fees and interest on service fees. The total collected to date is \$15,073,552. In addition, interest earned on funds in the service fee account during the reporting period was \$237,945 and the total interest earned on fees in the account to date is \$3,141,737. The cumulative balance in the service fee account at the end of this reporting period was \$8,069,050. See Table 4 for details on the use of administration funds.

The majority of the aforementioned funds paid salaries and associated expenses of personnel administering the DWSRF program. See Table 3 for a detailed breakdown of the administrative expenses.

Technical Assistance to Public Water Systems (Two percent of the Capitalization Grant for Federal Fiscal Year (FFY) 2019)

The Source and Drinking Water Program was awarded \$866,320.00 for technical assistance to small public water systems in State Fiscal Year (SFY) 2019-2020. The program expended a total of \$634,276.36. The unused funds of the SFY 2019-2020 award, in the amount of \$232,043.64, will be expended during SFY 2020-2021. Unused funds from the Drinking Water State Revolving Fund (DWSRF) set-aside grant can be utilized up to 18 months from the award date.

The Florida Rural Water Association (FRWA) employed six Drinking Water Circuit Riders, two engineers, and one Drinking Water Trainer. These positions provided technical assistance to small systems through DEP Grant G0447 (October 1, 2019 through September 30, 2020).

The Drinking Water Circuit Riders made 3,700 technical assistance visits; 159 of these visits resulted in a return to compliance status. The technical assistance visits included assisting systems with the preparation of DWSRF loan and grant documents, complying with federal cross-cutting requirements and other state requirements, solving operational problems with chemical feed systems, conducting leak detection studies, locating valves and mains, preparing documents such as the Consumer Confidence Reports, and assisting with other compliance problems where possible.

The Drinking Water Trainer responsibilities included assisting systems with financial and planning issues, providing board member training, training systems in asset management, conducting capacity assessments, devising business plans for improving the technical, financial, and managerial capacity of small systems, and assisting operators and water systems by conducting training in areas of need. The Drinking Water Trainer's work included the development of training manuals for small water systems and surface water systems and conducting surface water training tailored to the needs of the trainees. Approximately 2,026 treatment plant representatives attended 30 training classes presented by the Drinking Water Trainer. In addition, 1,492 treatment plant operators and representatives attended six "Focus on

Change” seminars in January/February 2020. These seminars featured technical presentations on current drinking water rule topics.

State and Local Assistance (Five percent of the Capitalization Grant for FFY 2019)

The Source and Drinking Water Program was awarded \$2,048,315 for the Source Water Protection Program in SFY 2019-2020. The program expended a total of \$540,985.49. The unused funds of the SFY 2019-2020 award, in the amount of \$1,507,329, will be expended during SFY 2020-2021.

State and Local Assistance set-aside funds were used for the following positions and activities:

- DEP District Office positions
 - Scanned and uploaded documents into Oculus
 - Quality assurance and quality control of scanned documents into Oculus
 - Dispositioned original documents
 - Chemical/Disinfection Byproduct (DBP) report review and entry
 - Review and enter data into the Public Water System (PWS) database
 - Conduct/review sanitary survey inspections
 - Public notification follow-up phone calls
 - Public notice processing and data entry

The State and Local Assistance set-aside funds were also used for the following positions and activities:

- DEP Fulltime Employee (FTE) positions projects
 - Florida Power and Light Sanford Plant groundwater quality exemption
 - Jacksonville Northside Generating Station groundwater exemption
 - Aquifer Storage and Recovery drinking water project
 - Broward County Underground Injection Control project coordination on aquifer water quality concerns
 - Provide guidance on the disposal of drinking water residuals

The Source and Drinking Water Program used State and Local Assistance set-aside funds to provide funding for the following projects:

- Source Water Protection Tool – This project was not initiated; the funding will be used for the WATER Tracker application.
- Seismic and Hydrogeologic Framework Characterization of the Floridan Aquifer System at Lake Okeechobee, FL (cooperative project with the U.S. Geological Survey) – Work has been started on collecting ground penetrating radar data in the Lake Okeechobee area.
- Delineated Areas – This project was not initiated; the funding will be used for the WATER Tracker application.

- Vulnerability Assessment/Emergency Response Plan Updates – FRWA completed 78 vulnerability assessments.
- Aquifer Protection Travel Funding – Travel was suspended prior to scheduled travel.

State Program Management (Four percent of the Capitalization Grant for FFY 2019)

The Source and Drinking Water Program was awarded \$1,894,600.00 for State Program Management in SFY 2019-2020. The program expended a total of \$422,723.35. The unused funds of the SFY 2019-2020 award, in the amount of \$1,471,876.65, will be expended during SFY 2020-2021.

The Source and Drinking Water Program used the State Program Management set-aside funds to provide program enhancements activities for the:

- U.S. Environmental Protection Agency (EPA) Area Wide Optimization Program (AWOP) Status Component Meeting;
- EPA Distribution System Optimization Control Strategies Training;
- Drinking Water Emergency Sampling;
- Sanitary Surveys and Compliance Inspection Training;
- FlaWARN (the Department's Water Facility Emergency Response and Recovery Network);
- StormTracker information technology (IT) project; and
- Water Well Contractor Database (this project was not initiated; and the funding will be used for the WATER Tracker application).

The status of the Florida Public Water System Supervision (PWSS) Program's adoption and implementation of the amendments of the Federal Safe Drinking Water Act are shown in the table on the following page.

Summary of Federal Rule Development

Rule	Year Adopted	Rule Adopted	Primacy Awarded	Active Implementation
Capacity Development	September 2000	Yes	Yes	Yes
Consumer Confidence Reports	Completed	Yes	Yes	Yes
Arsenic and monitoring	April 2003	Yes	Yes	Yes
2002 Lead and Copper Minor Revisions	Completed	Yes	Yes	Yes
Lead and Copper Short-Term Revisions	Completed	Yes	Yes	Yes
Disinfectant/Disinfection Byproducts – Stage 1	December 2001	Yes	Yes	Yes
Disinfectant/Disinfection Byproducts – Stage 2	Completed	Yes	Yes	Yes
Interim Enhanced Surface Water Treatment	April 2003	Yes	Yes	Yes
Filter Backwash Recycling Rule	May 2003	Yes	Yes	Yes
Long Term 1 Enhanced Surface Water Treatment (ESWTR)	November 2003	Yes	Yes	Yes
Long Term 2 ESWTR	September 2004	Yes	Yes	Yes
Radiological Rule	Completed	Yes	Yes	Yes
Groundwater Rule	July 2010	Yes	Yes	Yes
Public Notice Rule	Aug 2016	Yes	Under Review	Yes
Revised Total Coliform Rule	July 2015	Yes	Under Review	Yes

The New Systems Capacity Development (CD) program was fully delegated to the district and county offices by September 9, 2000. The CD Strategy for existing systems received final acceptance from EPA in September 2000. Since then, it has been fully implemented and CD rule primacy was received in the first quarter of FFY 2001.

Rules developed under the PWSS Program include the Stage 1 Disinfectant/Disinfection Byproduct (D/DBP) Rule, which was adopted in December 2001 (section 62-550.821, Florida Administrative Code (F.A.C.)). In addition, the Interim Enhanced Surface Water Treatment Rule (IESWTR) (section 62-550.817, F.A.C.), radiological (section 62-550.519, F.A.C.), and arsenic rules became effective in April 2003 (section 62-550.310(1)(c), F.A.C.). The Filter Backwash Recycling Rule (FBRR) (section 62-550.817, F.A.C.) became effective in May 2003 and the Long-Term 1 Enhanced Surface Water Treatment Rule (LT1ESWTR) (section 62-550.817, F.A.C.) was adopted in November 2003. Minor revisions to the state rules relating to the LT1ESWTR and the IESWTR Rules were adopted in September 2004.

Rules that are awaiting primacy review include the Public Notification (PN) Rule (section 62-560.400-440, F.A.C.), and the Revised Total Coliform Rule (section 62-550.830, F.A.C.), which remains under final review as of this date.

IV. FINANCIAL SUMMARY

The following discussion provides additional details on the financial management activities in the DWSRF.

A. Status of Loans

As of June 30, 2020, the DWSRF had closed \$1,435,509,371 in loans and grants with a net amount of \$150,948,594 closed during SFY 2020. Table 5c provides a summary of the source and use of the DWSRF project funds since program inception. Table 1 shows the status of all DWSRF project assistance provided during this reporting period. Information provided includes the following: loan or grant amount, interest rate for loans and loan terms.

B. Loan Disbursements/Cash Draw Proportionality

Table 4a lists revolving fund end-of-year disbursements, cash draw totals and fund balances since the inception of the DWSRF program. During SFY 2020, \$138,180,148 were disbursed to project sponsors for payment of project costs pursuant to assistance agreements. Approximately \$42.3 million in capitalization grant moneys were drawn from the Automated Clearing House (ACH) during SFY 2020 to pay the Federal share of project cost disbursements.

The ACH draws reimburse the DWSRF for the Federal share of disbursements for project costs and are distinguished from other funds. In past years, a uniform percentage was drawn through the ACH process for project cost disbursements; however, during SFY 2015 the process changed and the state match portion (20%) was drawn first, then after those funds were exhausted, 100% of the disbursements were drawn through the ACH.

C. Set-Aside Disbursements (Excluding DWSRF Administration)

Table 6 provides a listing for each set-aside related expense during the reporting period.

D. Annual Repayment/Aging of Accounts

The DWSRF program has received \$572,819,520 in loan repayments (principal and interest only) through the end of SFY 2020, of which \$43,531,065 was received in SFY 2020.

E. Loan Portfolio Analysis

Florida assesses the financial condition of each project sponsor before agreements are signed. Sponsors that are potentially weak are carefully tracked throughout the year to ensure that conditions are not deteriorating.

F. Investments

Interest accrued in the DWSRF project fund through the end of SFY 2020 totals \$55,855,237, of which \$10,951,552 was received in SFY 2020. The Department of Insurance, Office of the Treasurer manages these funds in compliance with state investment practices. The DEP monitors the DWSRF account quarterly to confirm that the Treasurer credits interest to the DWSRF account in a timely fashion. Management reports from the statewide financial system that document the DWSRF investment earnings are on file in our offices.

G. Financial Statements

The DEP's Finance and Accounting office maintains the official accounting system. The Bureau of Budget and Planning and SRF work closely with each other and Finance and Accounting to assure that accounting records are complete and accurate. The Office of Budget and Grants will prepare the financial statements for SFY 2020 and submit them to EPA.

IV. OPERATING AGREEMENT PROVISIONS AND CAPITALIZATION GRANT CONDITIONS

The State made a number of assurances in the Intended Use Plan and Operating Agreement. The State also accepted certain conditions in the capitalization grant agreement. Some of the more important assurances are discussed below.

A. Provide a State Match

State matching funds in the amount of \$10,982,480 were deposited into the SRF fund in SFY 2020. To date, Florida has received \$705,901,120 in capitalization grants from EPA, not including ARRA (these funds did not require match). The total amount of state match required for these funds is \$141,180,224. As of June 30, 2020, \$141,212,292 of State funds were deposited in the fund, as shown in Table 2. Any shortages will be addressed in future appropriations from the State Legislature.

B. Binding Commitments within One Year

Federal regulations require the State to make binding commitments in an amount equal to 120 percent of each quarterly capitalization grant payment within one year of the payment. Table 7 lists Federal payments to the letter of credit (LOC) by quarter and the cumulative binding commitments. Cumulative payments to the LOC at the beginning of the reporting period totaled \$ 793,975,120, including ARRA,

and binding commitments by the end of the reporting period totaled \$ 1,435,509,371, which satisfies the 120 percent binding commitment requirement of \$ 900,945,264.

C. Equivalency and Cap Grant Projects

"Equivalency" Projects means drinking water projects meeting certain Title II requirements of the federal SDWA. The cumulative equivalency requirement is \$724,474,742; that is, the sum of the portion of the capitalization grants allocated to projects that have been received since FFY 1997. For SFY 2020, the DEP designated 9 new construction projects as "cap grant" projects. All equivalency projects are required to have annual audits conducted under the Federal Single Audit Act. The "cap grant" projects are identified on Table 1. The total assistance provided for the designated "cap grant" projects was \$84,830,919 in SFY 2020.

D. Expeditious Construction and Timely Disbursements

When a loan is executed, the date is set for the first repayment based on the scheduled completion date for project construction. The fixed repayment date is rarely revised to accommodate project delays and serves as incentive for timely construction of the project.

The DEP generally authorizes disbursement requests within two to five calendar days of receipt. The State Comptroller typically issues checks within seven calendar days of the DEP's authorization.

E. MBE/WBE Participation

The State agreed to an overall fair share goal of 10 percent MBE/WBE participation (5 percent MBE and 5 percent WBE) for the capitalization grant used for making loans during the reporting period. Steps to encourage MBE/WBE participation were required for all the equivalency projects identified in Table 1. For SFY 2020, DW had a total of \$118,807,608 in procurement for cap grant monies. Of that amount, a total of \$3,029,932 (2.55 percent) was MBE and \$962,059 (0.81 percent) was WBE.

F. Assurances of Compliance

Each recipient of DWSRF assistance has made the required assurances of compliance with applicable Federal requirements. Loan agreements include local government assurances of compliance as covenants that are subject to audit.

All loan and grant recipients must conduct annual audits for DEP review. Such audits must be conducted in accordance with the Federal Single Audit Act. After project completion, the loan recipient must have a separate project specific audit conducted. In addition, the DEP has the option to conduct its own audit within three years of project closeout.

G. State Environmental Review Process

Environmental reviews, similar to reviews required for projects under the National Environmental Protection Act (NEPA), were conducted for all construction projects in accordance with the Rule 62-552.700(3), Florida Administrative Code. A finding of no significant impact or categorical exclusion notice was published for each construction project. No Environmental Impact Statements were necessary.

Table 1: Projects Funded in SFY 2020

Project Number	Project Sponsor	Grant Amount	Loan Amount	Agreement or Amendment Date	Agree Type	Type of Funding	Small Comm.	Financially Disadvantage Assistance	Interest Rate	Number of Payments	Population	Equivalency
39021	Bristol*	\$63,237	\$0	8/6/2019	C	G	Yes	Yes	N/A	N/A	1,954	No
45020	Callahan	\$599,994	\$240,266	6/23/2020	C	L/G	Yes	Yes	0.08	40	1,353	Yes
36013	Cape Coral	\$0	\$20,000,000	1/29/2020	I	L	No	No	0	40	154,305	Yes
36013	Cape Coral	\$0	\$25,000,000	8/14/2019	I	L	No	No	1.48	40	154,305	Yes
19042	Carrabelle	\$128,975	\$128,975	1/8/2020	P	L/G	Yes	Yes	1.34	20	4,971	Yes
32037	Cottondale*	\$148,994	\$0	1/2/2020	I	G	Yes	Yes	N/A	N/A	753	No
53070	Davenport	\$2,750,000	\$7,090,000	10/17/2019	C	L/G	Yes	Yes	0.74	40	3,228	Yes
52026	Dunedin	\$0	\$28,270,737	7/2/2019	C	L	No	No	1.03	40	36,381	No
36048	Fort Myers	\$0	\$258,270	12/9/2019	P	L	No	No	1.48	20	73,712	No
36080	Fort Myers Beach	\$0	\$5,000,000	5/8/2020	I	L	Yes	No	0.98	40	6,522	Yes
36080	Fort Myers Beach	\$0	\$6,083,000	12/19/2019	C	L	Yes	No	0.98	40	6,522	Yes
66033	Freeport	\$81,700	\$81,700	10/15/2019	P	L/G	Yes	Yes	1.88	20	2,688	Yes
10010	Green Cove Springs	\$178,400	\$178,400	1/24/2020	P	L/G	Yes	Yes	1.71	20	7,345	Yes
53045	Haines City	\$0	\$1,150,000	6/16/2020	I	L/G	No	Yes	0.49	40	20,535	Yes
06261	Hillsboro Beach	\$0	\$456,299	5/21/2020	I	L	Yes	No	1.18	40	1,875	No
06046	Hollywood	\$0	\$20,000,000	9/27/2019	C	L	No	Yes	0.95	40	149,750	No
09020	District	\$1,328,250	\$1,231,750	7/19/2019	C	L/G	Yes	Yes	0.71	60	5,548	Yes
32035	Jackson County	\$191,331	\$151,730	7/11/2019	I	L/G	Yes	Yes	0.78	60	828	Yes
24021	Jennings	\$155,250	\$19,750	4/14/2020	C	L/G	Yes	Yes	0	40	615	Yes
53051	Lake Alfred	\$13,750	\$13,750	6/23/2020	P	L/G	Yes	Yes	1.33	20	5,941	Yes
63011	Lake Butler	\$51,700	\$51,700	8/9/2019	P	L/G	Yes	Yes	1.57	20	1,940	Yes
54080	Association	\$101,204	\$11,244	5/19/2020	C	L/G	Yes	Yes	0	40	400	Yes
50174	Lake Worth Beach	\$0	\$3,034,181	1/2/2020	C	L	No	Yes	0.5	40	39,295	No
39012	Liberty County*	\$27,990	\$0	5/20/2020	I	G	Yes	Yes	N/A	N/A	8,347	No
39012	Liberty County*	\$130,728	\$0	7/3/2019	C	G	Yes	Yes	N/A	N/A	8,347	No
0302C	Lynn Haven*	\$555,000	\$0	7/15/2019	C	G	No	No	N/A	N/A	25,516	No
40041	Madison	\$206,500	\$206,500	4/27/2020	P	L/G	Yes	Yes	0.87	20	2,855	Yes
48025	Maitland	\$0	\$9,449,546	1/22/2020	C	L	No	No	1.44	40	15,000	No
03012	Mexico Beach*	\$394,144	\$0	8/30/2019	C	G	Yes	No	N/A	N/A	1,072	No
4803C	Oakland	\$0	\$104,600	1/2/2020	I	L	Yes	No	1.52	60	2,708	Yes
62025	Perry	\$2,012,856	\$525,744	3/16/2020	C	L/G	Yes	Yes	0.12	40	8,711	Yes
28053	District	\$0	\$50,000	6/22/2020	PI	L	Yes	No	1.88	20	3,750	No
28053	District	\$0	\$462,000	11/25/2019	P	L	Yes	No	1.88	20	3,750	No
55014	St. Johns County	\$0	\$3,326,290	11/21/2019	C	L	No	No	1.27	40	94,583	No
55013	St. Johns County	\$0	\$7,824,930	2/17/2020	C	L	No	No	1.27	40	94,583	No
43043	Stuart	\$460,000	\$1,880,000	3/5/2020	C	L/G	No	Yes	0.08	40	16,240	Yes
05033	Titusville	\$1,241,000	\$4,964,000	3/16/2020	C	L/G	No	Yes	0.34	40	47,582	Yes
21011	Trenton	\$247,650	\$247,650	7/17/2019	P	L/G	Yes	Yes	1.69	20	2,315	Yes
25015	Wauchula	\$75,000	\$75,000	9/19/2019	P	L/G	Yes	Yes	1.64	20	5,001	Yes
25015	Wauchula	\$285,800	\$285,800	4/3/2020	PI	L/G	Yes	Yes	1.64	20	5,001	Yes

Totals \$11,429,453 \$147,853,812 * Hurricane Michael Recovery Projects

Total Funding for Grants and Loans \$159,283,265

Net Funding for Grants and Loans \$150,948,594

(includes decrease to project listed in Table 5a)

Table 1: Projects Funded in SFY 2020 (continued)

Total Funding for Financially Disadvantaged Communities (loans)	\$41,568,140	
Total Funding for Financially Disadvantaged Communities (Grants)	\$11,429,453	
Total for Financially Disadvantaged Communities (Grants and Loans)	\$52,997,593	
Disadvantaged Community Assistance as a percentage of funds available	24%	
Disadvantaged Community Assistance as a percentage of Cap Grant	123%	
Total Funding for Small Communities (Grants and Loans)	\$30,982,783	(includes decrease to small community project in Table 5a)
Funds for Small Communities as a % of net binding commitments	21%	

** Codes for Assistance Type: C - new construction agreement; I - increase to construction agreement; P - new planning/design agreement; PI - increase to planning/design agreement.

Table 2 - Summary of Revenue and Commitments

Fiscal Year	Cap Grant Totals	Admin. (B)	Small Systems Technical Assist. (E)	Total State Programs (F)	Source Water Assessment and Delineation (G)	Total Set Asides	Funds in Cap Grant for Projects	Interest Income	Repayments (principal and interest only)	State Match	Total Project Revenue	Net Assistance Agreements	Total Program Revenues
1998	0	0	0	0	0	0	0	90,881	0	7,000,000	7,090,881	0	7,090,881
1999	65,775,400	2,631,016	1,315,508	2,986,167	2,933,619	9,866,310	56,187,960	670,610	0	11,000,000	67,858,570	28,560,434	77,446,010
2000	21,635,700	865,428	432,714	45,666	0	1,343,808	20,013,022	809,968	0	5,000,000	25,822,990	76,361,440	27,445,668
2001	45,064,400	1,798,856	899,428	2,361,000	0	5,059,284	40,005,116	976,583	545,168	5,000,000	46,526,867	38,935,145	51,586,151
2002	18,841,300	753,652	376,826	333,491	0	1,463,969	17,377,331	699,075	3,356,696	5,000,000	26,433,102	27,090,056	27,897,071
2003	18,728,100	725,352	348,526	1,667,416	0	2,741,294	15,986,806	624,020	8,021,205	5,000,000	29,632,031	31,939,030	32,373,325
2004	*	*	*	*	0	*	*	514,456	13,068,814	5,000,000	18,583,270	32,743,357	18,583,270
2005	38,814,100	0	764,526	3,440,501	0	4,205,027	34,609,073	492,542	12,723,021	5,000,000	52,824,636	27,799,547	57,029,663
2006	*	*	*	*	0	*	*	721,717	14,771,367	5,000,000	20,493,084	35,161,741	20,493,084
2007	37,168,500	0	743,370	3,345,165	0	4,088,535	33,079,965	1,507,922	16,018,926	1,000,000	51,606,813	35,439,386	55,695,348
2008	37,169,000	0	746,932	3,361,194	0	4,108,126	33,060,874	1,794,025	17,632,319	10,073,000	62,560,218	61,821,056	66,668,344
2009	42,097,220	0	735,840	3,311,280	0	4,047,120	38,050,100	932,221	23,356,809	2,840,700	65,179,830	51,596,449	69,226,950
2010	124,866,000	490,225	885,840	4,467,626	0	5,843,691	119,022,309	1,093,117	23,719,840	5,920,000	149,755,266	129,781,223	155,598,957
2011	44,316,000	0	886,320	4,431,600	0	5,317,920	38,998,080	1,507,698	30,672,489	2,240,000	73,418,267	45,446,925	78,736,187
2012	30,751,000	0	615,020	1,859,487	0	2,474,507	28,276,493	2,462,235	34,210,475	10,361,614	75,310,817	91,852,039	77,785,324
2013	29,306,000	0	0	0	0	0	29,306,000	2,437,737	33,099,400	7,888,498	72,731,635	69,285,315	72,731,635
2014	27,496,000	0	549,920	2,849,600	0	3,399,520	24,096,480	1,809,062	41,244,171	5,499,200	72,648,913	51,281,864	76,048,433
2015	32,350,000	0	647,000	2,588,000	0	3,235,000	29,115,000	3,100,844	47,541,897	5,499,200	85,256,941	7,410,497	88,491,941
2016	32,138,000	0	642,760	321,380	0	964,140	31,173,860	3,675,044	44,826,721	7,440,800	87,116,425	36,573,069	88,080,565
2017	30,403,000	0	608,060	554,030	0	1,162,090	29,240,910	4,428,590	90,142,459	6,038,200	129,850,159	157,188,285	131,012,249
2018	30,143,000	0	608,060	2,107,528	0	2,715,588	27,427,412	6,401,671	36,366,047	6,028,600	76,223,730	141,284,170	78,939,318
2019	43,725,000	0	874,500	1,780,714	0	2,655,214	41,069,786	8,153,667	37,970,631	6,400,000	93,594,084	107,009,749	96,249,298
2020	43,187,400	0	866,320	3,942,915	0	4,809,235	38,378,165	10,951,552	43,531,065	10,982,480	103,843,262	150,948,594	108,652,497
Totals	793,975,120	7,264,529	13,547,470	45,754,760	2,933,619	69,500,378	724,474,742	55,855,237	572,819,520	141,212,292	1,494,361,791	1,435,509,371	1,563,862,169

Cumulative Available for Projects	\$1,494,361,791
Cumulative Obligations for Projects	\$1,435,509,371
Balance	<u>\$58,852,420</u>

* 2004 and 2006 Cap Grants were not received in the State Fiscal Year (€)

Table 3: Administrative Expenses in FY 2020

Direct Salaries	Fringe	OPS Salaries	Total Salaries	Other	Indirect	Total Expenditures
\$501,295.37	\$354,766.73	\$0.00	\$856,062.10	\$13,074.27	\$361,086.99	\$1,230,223.36

Table 4a: Disbursement and Cash Balances
DWSRF Fund Balance by Fiscal Year

Fiscal Year	Deposits Of State Money	ACH Draw Proj	Disbursed Proj	Int. Earned On Account	Repayment Deposits	Balance	Cum. Balance
1999	11,000,000.00	3,912,040.00	(4,832,660.00)	670,609.54	0.00	10,749,989.54	10,749,989.54
2000	5,000,000.00	18,066,747.00	(22,318,403.00)	809,967.67	0.00	1,558,311.67	12,308,301.21
2001	5,000,000.00	32,603,716.00	(40,276,364.00)	976,582.91	545,168.35	(1,150,896.74)	11,157,404.47
2002	5,000,000.00	53,125,875.00	(65,307,576.00)	699,074.75	3,356,695.83	(3,125,930.42)	8,031,474.05
2003	5,000,000.00	25,046,582.00	(35,548,170.00)	624,020.38	8,041,574.13	3,164,006.51	11,195,480.56
2004	5,000,000.00	16,815,276.00	(49,703,746.00)	514,455.69	13,068,813.52	(14,305,200.79)	(3,109,720.23)
2005	5,000,000.00	17,355,058.00	(29,279,275.00)	492,541.86	12,723,021.49	6,291,346.35	3,181,626.12
2006	5,000,000.00	17,254,015.00	(29,479,903.00)	721,716.79	14,771,366.72	8,267,195.51	11,448,821.63
2007	1,000,000.00	18,332,105.00	(37,245,768.00)	1,507,922.35	16,018,926.00	(386,814.65)	11,062,006.98
2008	10,073,000.00	28,580,978.00	(48,197,211.00)	1,794,024.70	17,632,319.07	9,883,110.77	20,945,117.75
2009	2,840,700.00	28,032,312.00	(50,188,363.36)	932,220.84	23,356,809.37	4,973,678.85	25,918,796.60
2010	5,920,000.00	53,041,135.00	(66,601,403.00)	1,093,116.50	23,719,840.12	17,172,688.62	43,091,485.22
2011	2,240,000.00	63,278,498.00	(74,212,103.00)	1,507,697.96	30,673,844.30	23,487,937.26	66,579,422.48
2012	10,361,614.00	58,167,995.00	(61,867,142.00)	2,462,234.66	34,210,474.68	43,335,176.34	109,914,598.82
2013	7,888,498.00	22,407,828.00	(26,860,295.00)	2,437,736.57	33,099,400.12	38,973,167.69	148,887,766.51
2014	5,499,200.00	58,267,111.00	(76,995,223.00)	1,809,062.10	41,244,170.90	29,824,321.00	178,712,087.51
2015	5,499,200.00	21,260,451.27	(47,455,324.00)	3,100,843.52	47,217,166.21	29,622,337.00	208,334,424.51
2016	7,440,800.00	38,462,641.53	(19,931,261.00)	3,675,044.10	44,826,720.89	74,473,945.52	282,808,370.03
2017	6,038,200.00	22,447,152.69	(51,487,979.00)	4,428,590.48	90,142,459.17	71,568,423.34	354,376,793.37
2018	6,028,600.00	23,208,505.80	(52,549,352.00)	6,401,670.69	36,580,972.00	19,670,396.49	374,047,189.86
2019	6,400,000.00	18,669,651.80	(131,800,820.00)	8,153,666.62	37,970,631.01	(60,606,870.57)	313,440,319.29
2020	10,982,480.00	42,291,108.20	(138,180,148.00)	10,951,551.70	43,531,064.82	(30,423,943.28)	283,016,376.01
Totals:	134,212,292.00	680,626,782.29	(1,160,318,489.36)	55,764,352.38	572,731,438.70	283,016,376.01	

Table 4b: Disbursement and Cash Balances

DWSRF Service Fee Sub-Account by Fiscal Year

Fiscal Year	Admin Draw	Disb SFs	Pmt SFs	Interest	Adm Chgs	Balance	Cum Bal
1997	0.00	0.00	0.00	0.00	(66,213.65)	(66,213.65)	(66,213.65)
1998	0.00	0.00	0.00	0.00	(535,986.07)	(535,986.07)	(602,199.72)
1999	1,330,099.00	0.00	0.00	0.00	(891,260.36)	438,838.64	(163,361.08)
2000	869,247.00	520,398.00	0.00	20,160.84	(838,034.62)	571,771.22	408,410.14
2001	839,636.00	0.00	97,776.74	32,343.11	(831,975.17)	137,780.68	546,190.82
2002	717,374.00	0.00	1,275,347.24	57,067.78	(703,187.55)	1,346,601.47	1,892,792.29
2003	811,045.00	0.00	982,433.18	123,713.88	(842,237.69)	1,074,954.37	2,967,746.66
2004	900,452.00	0.00	473,847.03	94,427.63	(903,092.40)	565,634.26	3,533,380.92
2005	731,133.00	0.00	1,063,929.37	175,865.14	(857,113.86)	1,113,813.65	4,647,194.57
2006	575,317.00	0.00	534,421.06	151,968.70	(782,289.62)	479,417.14	5,126,611.71
2007	0.00	0.00	342,013.59	234,482.98	(606,649.49)	(30,152.92)	5,096,458.79
2008	0.00	0.00	740,117.47	266,444.05	(584,232.06)	422,329.46	5,518,788.25
2009	0.00	0.00	1,169,118.81	148,504.18	(513,635.87)	803,987.12	6,322,775.37
2010	0.00	0.00	898,395.50	173,904.12	(560,492.40)	511,807.22	6,834,582.59
2011	0.00	0.00	741,637.70	172,112.68	(623,628.85)	290,121.53	7,124,704.12
2012	490,225.00	0.00	636,228.64	166,724.45	(793,373.29)	499,804.80	7,624,508.92
2013	0.00	0.00	1,179,286.33	133,929.82	(806,105.91)	507,110.24	8,131,619.16
2014	0.00	0.00	1,616,078.22	90,217.43	(566,650.36)	1,139,645.29	9,271,264.45
2015	0.00	0.00	313,588.25	136,369.90	(623,549.73)	(173,591.58)	9,097,672.87
2016	0.00	0.00	430,186.02	138,571.05	(738,206.40)	(169,449.33)	8,928,223.54
2017	0.00	0.00	811,563.31	139,496.02	(795,853.63)	155,205.70	9,083,429.24
2018	0.00	0.00	686,068.60	183,491.99	(876,755.47)	(7,194.88)	9,076,234.36
2019	0.00	0.00	993,083.13	263,996.43	(1,424,659.91)	(167,580.35)	8,908,654.01
2020	0.00	0.00	88,431.81	237,945.54	(1,165,980.73)	(839,603.38)	8,069,050.63
Totals:	7,264,528.00	520,398.00	15,073,552.00	3,141,737.72	(17,931,165.09)	8,069,050.63	

TABLE 5a: Loan Decreases for SFY 2020

Project Sponsor	Project Number	Small Comm.	Disadvantaged Community	Amendment Date	Loan Amount	Grant Amount	Total Decrease
Casselberry	59033	No	Yes	8/20/2019	(\$211,292.00)	\$0.00	(\$211,292.00)
Columbia County	12010	Yes	Yes	11/5/2019	(\$334,469.00)	\$0.00	(\$334,469.00)
Daytona Beach	64092	No	No	9/19/2019	(\$1,537,943.00)	\$0.00	(\$1,537,943.00)
DeSoto County	14023	No	Yes	7/2/2019	(\$338,842.00)	(\$220,924.00)	(\$559,766.00)
Havana	20050	Yes	Yes	7/30/2019	(\$248,355.00)	\$0.00	(\$248,355.00)
Howey-in-the-Hills	35040	Yes	No	6/9/2020	(\$167,646.00)	\$0.00	(\$167,646.00)
Lake Worth Beach	50172	No	Yes	3/11/2020	(\$833,956.80)	(\$208,489.20)	(\$1,042,446.00)
Lake Worth Beach	50171	No	Yes	2/18/2020	(\$277,438.00)	\$0.00	(\$277,438.00)
Orange County	4803A	No	No	5/7/2020	(\$1,933,890.00)	\$0.00	(\$1,933,890.00)
Orange County	4803B	No	No	8/6/2019	(\$90,329.00)	\$0.00	(\$90,329.00)
Ozello Water Association	09070	Yes	No	8/9/2019	(\$110,599.00)	\$0.00	(\$110,599.00)
Perry	62020	Yes	Yes	10/15/2019	\$0.00	(\$18,459.00)	(\$18,459.00)
Perry	62020	Yes	Yes	11/20/2019	(\$1,583.30)	(\$5,416.70)	(\$7,000.00)
Sanford	59012	No	Yes	2/12/2020	(\$488,767.70)	(\$9,111.30)	(\$497,879.00)
St. Johns County	55011	No	No	10/28/2019	(\$1,156,850.00)	\$0.00	(\$1,156,850.00)
Stuart	43042	No	Yes	10/22/2019	(\$85,147.53)	(\$15,634.47)	(\$100,782.00)
Tavares	35096	No	Yes	10/22/2019	(\$4,528.00)	\$0.00	(\$4,528.00)
Zephyrhills	51054	No	Yes	9/9/2019	(\$35,000.00)	\$0.00	(\$35,000.00)
TOTAL DECREASES					(\$7,856,636.33)	(\$478,034.67)	(\$8,334,671.00)

Table 5b: Source and Use of Funds

DWSRF Funds by Fiscal Year

Fiscal Year	Balance Forward	Federal Capital*	State Approps.	Interest On Fund	Principal & Int Repaid	Decreases	Awards	Cumulative Net Awards	Balance
1997	---	0	0	0	0	0	0	0	0
1998	0	56,187,960	7,000,000	90,881	0	0	0	0	63,278,841
1999	63,278,841	18,390,345	11,000,000	670,610	0	0	28,560,434	28,560,434	64,779,362
2000	64,779,362	21,220,819	5,000,000	809,968	0	-29,149	76,390,589	104,921,874	15,448,709
2001	15,448,709	20,479,416	5,000,000	976,583	545,168	-17,850	38,952,995	143,857,019	3,514,731
2002	3,514,731	17,377,331	5,000,000	699,075	3,356,696	-4,161,655	31,251,711	170,947,075	2,857,777
2003	2,857,777	15,986,806	5,000,000	624,020	8,041,574	-1,998,909	33,937,939	202,886,105	571,147
2004	571,147	17,355,058	5,000,000	514,456	13,068,814	-560,282	33,303,639	235,629,462	3,766,118
2005	3,766,118	17,254,015	5,000,000	492,542	12,723,021	-4,038,550	31,838,097	263,429,009	11,436,149
2006	11,436,149	33,079,965	5,000,000	721,717	14,771,367	-2,070,254	37,231,995	298,590,750	29,847,457
2007	29,847,457	33,060,874	1,000,000	1,507,922	16,018,926	-374,770	35,814,156	334,030,136	45,995,793
2008	45,995,793	38,050,100	10,073,000	1,794,025	17,632,319	-722,783	62,543,839	395,851,192	51,724,181
2009	51,724,181	119,022,309	2,840,700	932,221	23,356,809	-388,917	51,985,366	447,447,641	146,279,771
2010	146,279,771	0	5,920,000	1,093,117	23,719,840	-16,022,394	145,803,617	577,228,864	47,231,505
2011	47,231,505	38,998,080	2,240,000	1,507,698	30,673,844	-14,524,344	59,971,269	622,675,789	75,204,202
2012	75,204,202	0	10,361,614	2,462,235	34,210,475	-5,091,232	96,943,271	714,527,828	30,386,487
2013	30,386,487	56,816,900	7,888,498	2,437,737	33,099,400	-7,961,193	77,246,508	783,813,143	61,343,707
2014	61,343,707	27,839,022	5,499,200	1,809,062	41,244,171	-14,000,315	65,282,179	835,095,007	86,453,298
2015	86,453,298	29,115,000	5,499,200	3,100,844	47,217,166	-730,974	8,141,471	842,505,504	163,975,011
2016	163,975,011	31,173,860	7,440,800	3,675,044	44,826,721	-9,620,332	46,193,401	879,078,573	214,518,367
2017	214,518,367	31,543,093	6,038,200	4,428,590	90,142,459	-6,474,653	163,662,938	1,036,266,858	189,482,424
2018	189,482,424	27,427,412	6,028,600	6,401,671	36,580,972	-11,078,769	152,362,939	1,177,551,028	124,636,909
2019	124,636,909	41,069,786	6,400,000	8,153,667	37,970,631	-4,171,594	111,181,343	1,284,560,777	111,221,244
2020	111,221,244	38,378,165	10,982,480	10,951,552	43,531,065	-8,334,671	159,283,265	1,435,509,371	64,115,912
Totals:		729,826,316	141,212,292	55,855,237	572,731,438	-112,373,590	1,547,882,961		

*Federal Capital is based on the fiscal year in which ACH/ASAP deposit was made and is the project portion only, not the actual cap grant

Table 5c: Source and Use of Funds

DWSRF Grants and Loans by Fiscal Year

Fiscal Year	Grant Amount	Grant Decrease	Loan Amount	Loan Decrease	Total Amount	No. Of Grants	No. Of Loans	No. Of Gt/Lns	Total Number
1999	1,136,982	0	27,423,452	0	28,560,434	9	5	3	17
2000	9,474,091	0	66,916,498	-29,149	76,390,589	6	17	4	27
2001	6,784,320	-17,850	32,168,675	0	38,952,995	8	14	5	27
2002	2,803,572	-1,514	28,448,139	-4,160,141	31,251,711	7	14	4	25
2003	4,226,401	-169,562	29,711,538	-1,829,347	33,937,939	4	11	6	21
2004	2,707,072	-166,220	30,596,567	-394,062	33,303,639	10	18	2	30
2005	3,383,085	0	28,455,012	-4,038,550	31,838,097	6	10	6	22
2006	1,324,963	-3,524	35,907,032	-2,066,730	37,231,995	2	11	2	15
2007	323,930	-72,684	35,490,226	-302,086	35,814,156	0	14	1	15
2008	10,872,248	-113,723	51,671,591	-609,060	62,543,839	5	21	3	29
2009	5,460,461	0	46,524,905	-388,917	51,985,366	0	16	3	19
2010	66,428,278	-3,822,773	79,375,339	-12,199,621	145,803,617	0	28	40	68
2011	14,475,262	-2,520,734	45,496,007	-12,003,610	59,971,269	4	28	8	40
2012	6,884,370	-307,377	90,058,901	-4,783,855	96,943,271	2	14	8	24
2013	6,897,723	-97,844	70,348,785	-7,863,349	77,246,508	9	13	6	28
2014	8,244,495	-719,508	57,037,684	-13,280,807	65,282,179	4	10	5	19
2015	530,472	-174,729	7,610,999	-556,245	8,141,471	1	10	1	12
2016	13,551,290	-214,717	32,642,111	-9,405,615	46,193,401	4	8	5	17
2017	4,799,389	-49,570	158,863,549	-6,425,083	163,662,938	2	24	1	27
2018	13,685,219	-900,577	138,677,720	-10,178,192	152,362,939	3	14	14	31
2019	14,423,688	-212,282	96,757,955	-3,959,313	111,181,643	2	21	20	43
2020	11,429,453	-478,035	147,853,812	-7,856,636	159,283,265	6	16	18	40
Totals:	209,846,764	-10,043,223	1,338,036,497	-102,330,368	1,547,883,261	94	337	165	596

*Federal Capital is based on the fiscal year in which ACH/ ASAP deposit was made and is the project portion only, not the actual cap grant

Table 6: DWSRF Set-Aside Expenses for SFY 2020

CATEGORY	Tech Asst to Pub Water Sys (TAPWS)	Pub Water Sys Suprvsn (PWSS)	Source Water Protection (SWP)	Total State Programs (PWSS+SWP)	Source Water Assess & Delin (SSWAD)	TOTAL
Personnel	0	37,298	107,465	144,763	0	144,763
Fringe	0	26,396	76,053	102,449	0	102,449
OPS	0	0	16,214	16,214	0	16,214
Contracts	760,209	0	0	0	0	760,209
Other	0	584,236	458,713	1,042,949	0	1,042,949
Total Direct:	760,209	647,930	658,444	1,306,374	0	2,066,583
Total Indirect:	0	31,573	96,272	127,845	0	127,845
Totals:	760,209	679,503	754,716	1,434,219	0	2,194,428

Table 7: Binding Commitments and Federal Payments to the LOC

Federal Fiscal Year	Quarter	SFY 2011	SFY 2012	SFY2013	SFY2014	SFY2015	SFY2016	SFY2017	SFY2018	SFY2019	SFY2020	Cumulative Deposits into LOC	Required Binding Commitments	Actual Binding Commitments
1998 to 2010												450,159,720		577,228,864
2011	1											450,159,720		
	2	44,316,000										494,475,720		
	3											494,475,720		622,675,789
	4		30,751,000									525,226,720		
2012	1											525,226,720	540,191,664	
	2											525,226,720	593,370,864	
	3											525,226,720	593,370,864	714,527,828
	4			29,306,000								554,532,720	630,272,064	
2013	1											554,532,720	630,272,064	
	2											554,532,720	630,272,064	
	3											554,532,720	630,272,064	783,813,143
	4				27,496,000							582,028,720	665,439,264	
2013	1											582,028,720	665,439,264	
	2											582,028,720	665,439,264	
	3											582,028,720	665,439,264	835,095,007
	4					32,350,000						614,378,720	698,434,464	
2014	1											614,378,720	698,434,464	
	2											614,378,720	698,434,464	
	3											614,378,720	698,434,464	842,505,504
	4						32,138,000					646,516,720	737,254,464	
2015	1											646,516,720	737,254,464	
	2											646,516,720	737,254,464	
	3											646,516,720	737,254,464	879,078,573
	4							30,403,000				676,919,720	775,820,064	
2016	1											676,919,720	775,820,064	
	2											676,919,720	775,820,064	
	3											676,919,720	775,820,064	1,036,266,858
	4								30,143,000			707,062,720	775,820,064	
2017	1											707,062,720	812,303,664	
	2											707,062,720	812,303,664	
	3											707,062,720	812,303,664	1,177,551,028
	4									43,725,000		750,787,720	812,303,664	
2018	1											750,787,720	848,475,264	
	2											750,787,720	848,475,264	
	3											750,787,720	848,475,264	1,284,560,777
	4										43,187,400	793,975,120	900,945,264	
2019	1											793,975,120	900,945,264	
	2											793,975,120	900,945,264	
	3											793,975,120	900,945,264	1,435,509,371
	4											793,975,120	952,770,144	
Totals		44,316,000	30,751,000	29,306,000	27,496,000	32,350,000	32,138,000	30,403,000	30,143,000	43,725,000	43,187,400			